

CentraleSupélec

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Reason one: The targets occupy a very small part of the entire image scene



The targets are spatially sparse (few pixels in a million pixel image). The background has a low rank property. Based on these two assumptions, we propose a novel target detector for hyperspectral imagery.

Reason two: A hyperspectral test pixel lies in a low dimensional subspace



serious challenge on building the dictionary f the background. various detectors can be used to carry out a more elaborate

Reason three: The covariance estmation is challenging in large dimensions



estimators (e.g. the Sample Covariance, Tyler estimator) behave very poorly in large dimensions. We propose new estimators by assuming the covariance matrix is

Some concluding remarks and directions for future work

The direct use of RPCA Several is inadequate to methods have been The end distinguishing the proposed and tested true targets from the on both synthetic and background. A real datasets for an modification of it is automatic target

Ahmad BITAR



Supervisor



Thesis director



SONDRA CentraleSupélec



Jean-Philippe OVARLEZ

Maître de Recherche 2 (ONERA), HDR

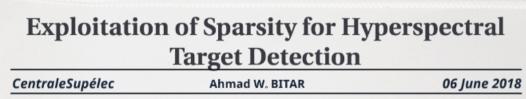












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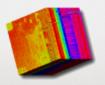
A hyperspectral test pixel lies in a low dimensional subspace of the p dimensional spectral measurement space. The harkground dictionary is usually constructed via a dual sixting concentric



We aim to alleviate the serious challenge on building the dictionary of the background. Following which

various detectors can be used to carry out a binary hypothesis test.

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The traditional covariance estimators (e.g. the Sample Covariance, Tyler estimator) behave very poorly in large dimensions. We propose new estimators by assuming the covariance matrix is sparse, namely, many entries

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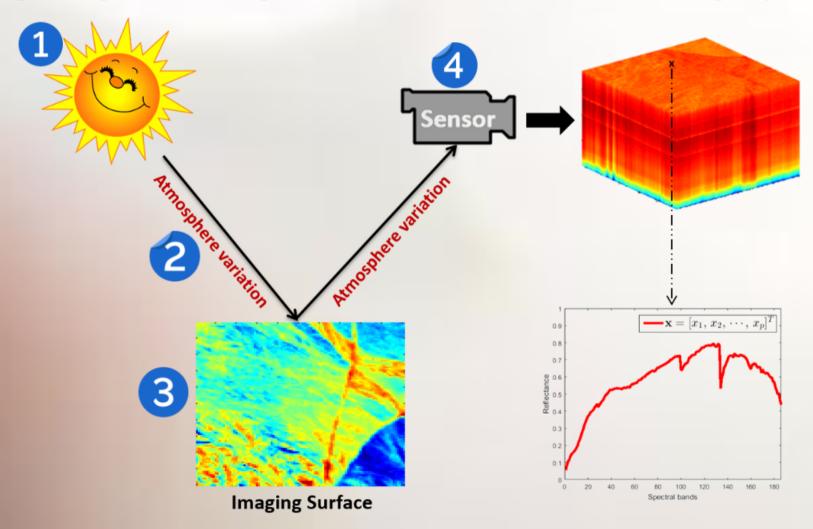


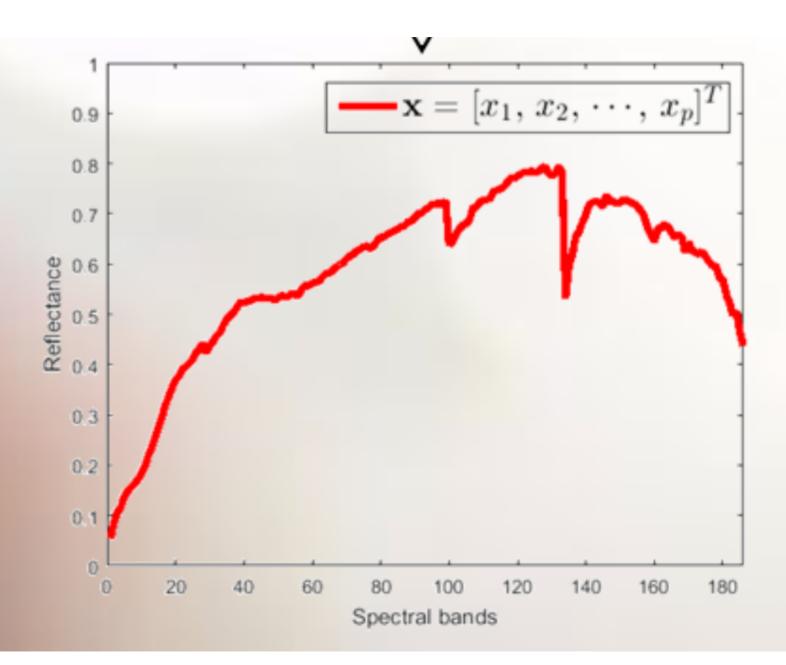
How and Why can Sparsity be exploited for Hyperspectral Target Detection



Introduction to Hyperspectral Target Detection

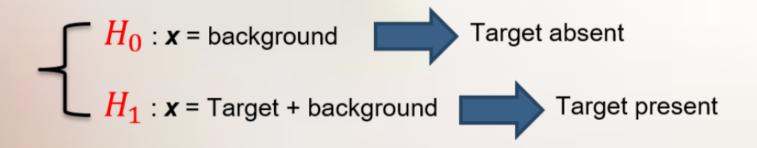
Hyperspectral (passive) Remote Sensing System:





Hyperspectral Target Detection: concept and applications (1/3)

Target detection is one of the most important applications in hyperspectral imagery



Hyperspectral Target Detection: concept and applications (2/3)

Replacement signal model:

$$x = \alpha t + (1 - \alpha) b$$

 $0 \le \alpha \le 1$

t: target spectrum

b: background spectrum

Hyperspectral Target Detection: concept and applications (3/3)

Applications to target detection:

- Application to target detection when the target t is known for example: Matched Filter, Normalized Matched Filter, Kelly detector.

Serious challenges in hyperspectral target detection (1/2)

 [Challenge one] The dependency on the unknown covariance matrix ∑ (of the background surrounding the test pixel), and the estimation challenges of ∑ in large dimensions and to ensure success under different environment.

Behave poorly in large dimensions

*The Sample covariance

*Robust estimators (i.e. The Tyler estimator)

Serious challenges in hyperspectral target detection (2/2)

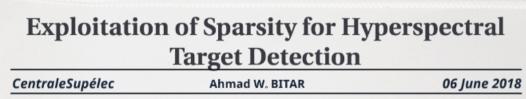
 [Challenge two] The sensor noise, atmospheric conditions, material composition, etc.



The classical target detectors that depend on the target to detect **t**, use only a single reference spectrum for the target of interest.

How and Why can Sparsity be exploited for Hyperspectral Target Detection





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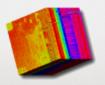
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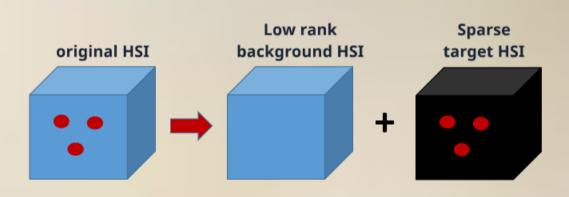
The end Thank you ..

Reason one: The targets occupy a very small part of the entire image scene

- The targets are randomly distributed in the image.
- The targets have low probability to appear in each pixel in the entire image scene.

So how to exploit sparsity

- 1. The targets are spatially sparse (few pixels in a million pixel image).
- 2. The background has a low rank property.



our novelty against state of the art [Shih-Yu Chen] [Yubin Niu] [Yuxiang Zhang]

- The objects to separate from the background are proven to be the true targets!
- The sparse target HSI is directly used for detection.

General Background (1/4)

Suppose a data matrix **D** can be decomposed as:

$$\mathbf{D} = \mathbf{L}_0 + \mathbf{E}_0$$
low rank sparse

How can both the low rank and sparse components be recovered accurately ??

General Background (2/4)

The Robust Principal Component Analysis (RPCA):

$$\min_{\mathbf{L}, \mathbf{E}} \left\{ \operatorname{rank}(\mathbf{L}) + \lambda \|\mathbf{E}\|_{l} \right\} \quad s.t. \quad \mathbf{D} = \mathbf{L} + \mathbf{E},$$

NP-HARD to solve $\lambda>0 \ \ \text{is a regularization parameter}$

 $\left\|\mathbf{E}
ight\|_{l}$ indicates certain sparse regularization strategy: $\{\left\|.\right\|_{0},\,\left\|.\right\|_{0,2},\,\left\|.\right\|_{2,0}\}$

$$rank(.) \rightarrow ||.||_*$$

$$\begin{split} & \operatorname{rank}(.) \to \left\|.\right\|_* \\ & \left\{ \left\|.\right\|_0, \, \left\|.\right\|_{0,2}, \, \left\|.\right\|_{2,0} \right\} \to \left\{ \left\|.\right\|_1, \, \left\|.\right\|_{1,2}, \, \left\|.\right\|_{2,1} \right\} \end{split}$$

General Background (3/4)

Success of RPCA in some applications: Face recognition and video surveillance

RPCA for face recognition (the matrix L is the object of interest)



Removing shadows, specularities, and saturations from a face



Removing shadows, specularities, and saturations from a face

General Background (4/4)

Success of RPCA in some applications: Face recognition and video surveillance

RPCA for video surveillance (the matrix E is the object of interest)



Detecting the moving objects from a static background



Detecting the moving objects from a static background

How is RPCA exploited for Hyperspectral imagery?

So how to define both L_0 and E_0 ?

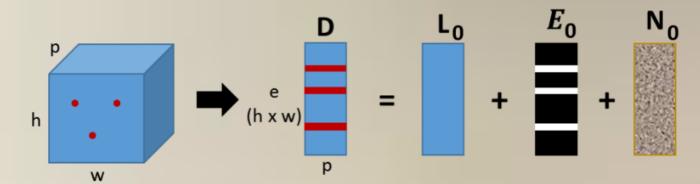
 The total image area of all the target(s) should be small relative to the whole image (spatially sparse).

 \mathbf{E}_0

• The background is not too heavily cluttered with many different materials with multiple spectra: The background has a low rank property .



How is RPCA exploited for Hyperspectral imagery?



We aim to minimize the following problem:

$$\min_{\mathbf{L}, \mathbf{E}} \left\{ \tau \operatorname{rank}(\mathbf{L}) + \lambda \ \|\mathbf{E}\|_{0,2} + \|\mathbf{D} - \mathbf{L} - \mathbf{E}\|_F^2 \right\}, \quad \text{NP-HARD}$$

$$\lim_{\mathbf{L}, \mathbf{E}} \left\{ \tau \ \|\mathbf{L}\|_* + \lambda \ \|\mathbf{E}\|_{1,2} + \|\mathbf{D} - \mathbf{L} - \mathbf{E}\|_F^2 \right\}, \quad \text{CONVEX}$$

Is the direct use of RPCA adequate to distinguishing the targets?

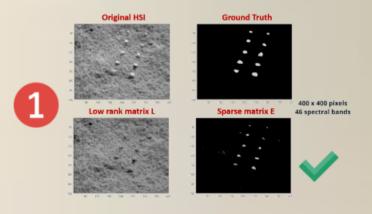


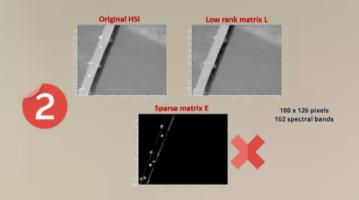
Our findings:

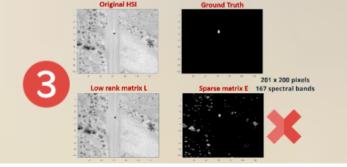


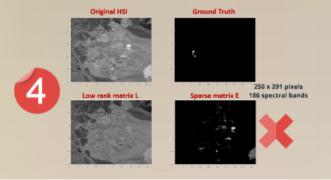
The RPCA only searches for small heterogeneous and high contrast objects. RPCA is not adequate to distinguishing the targets ...

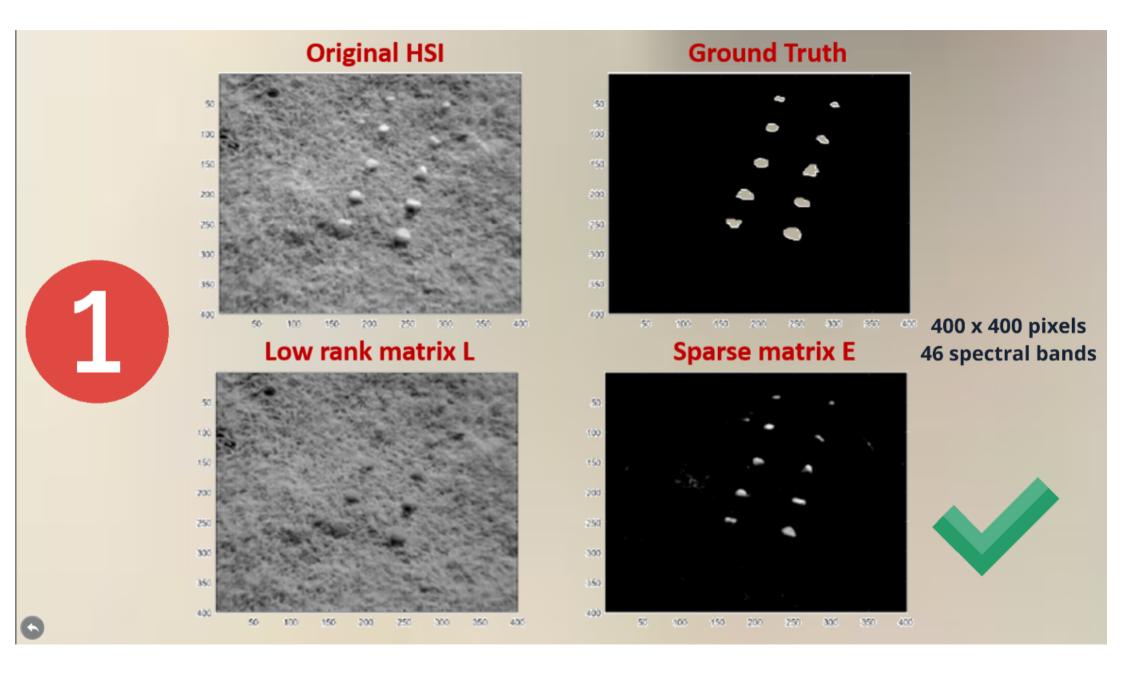
Let us prove what we have found

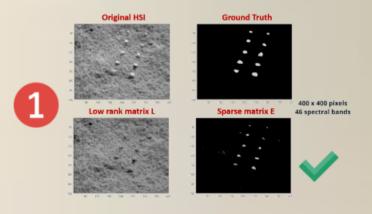


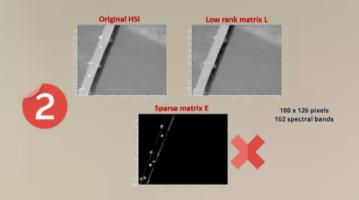


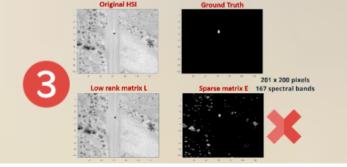


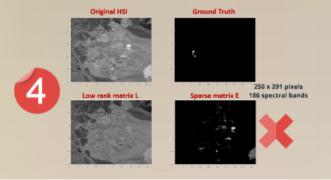


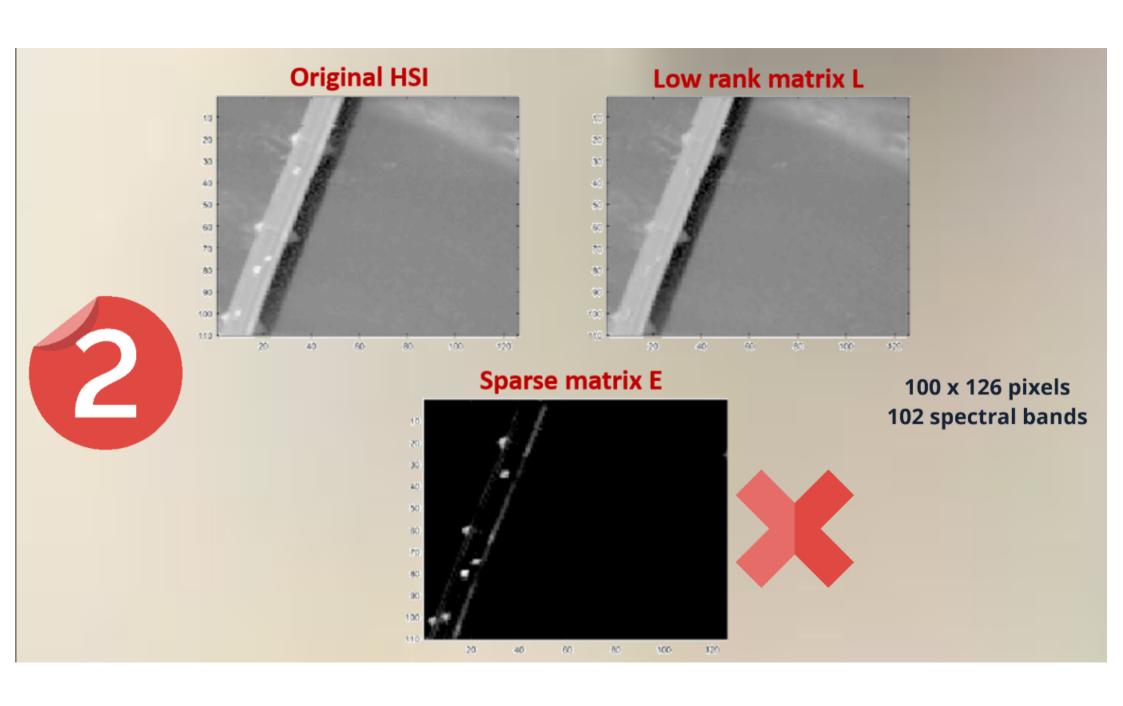


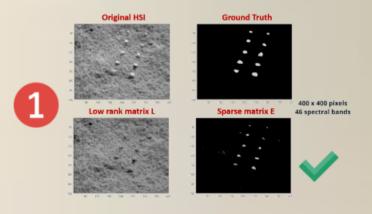


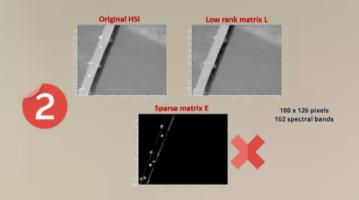


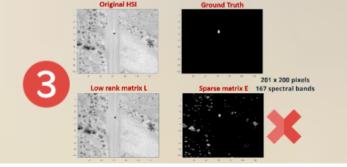


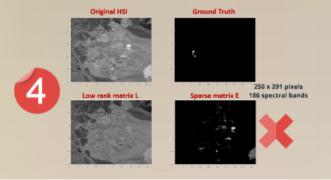


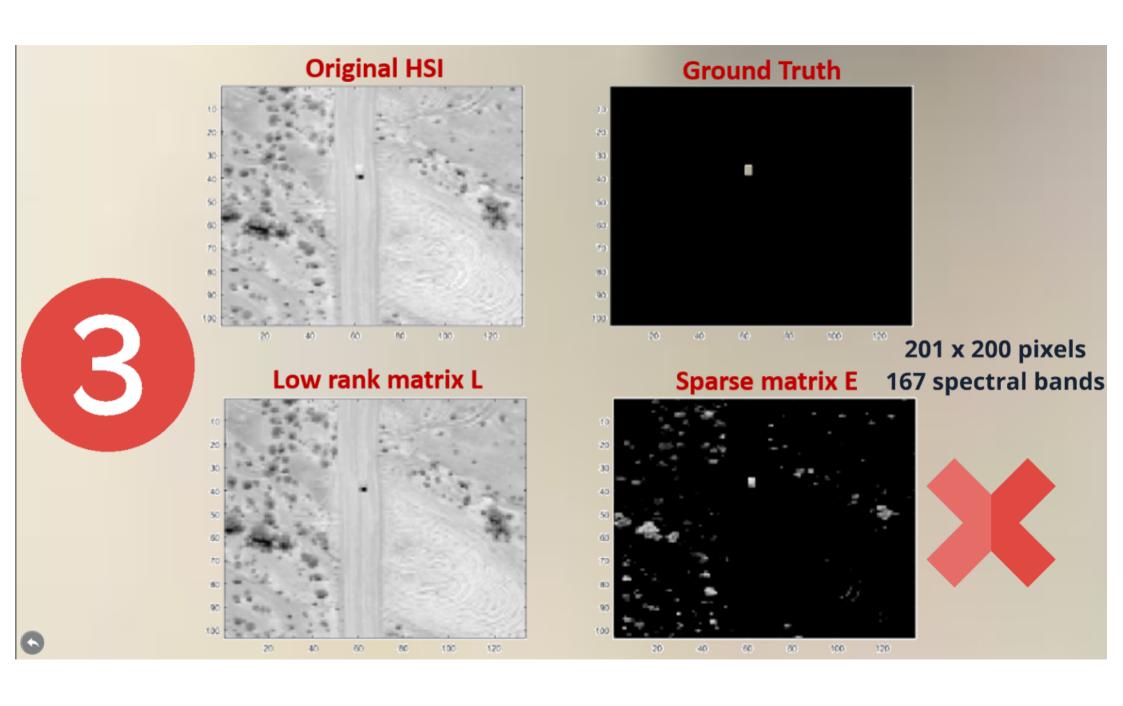


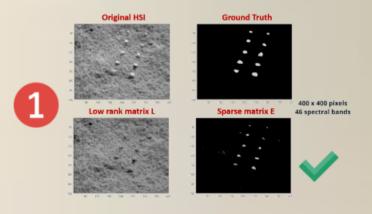


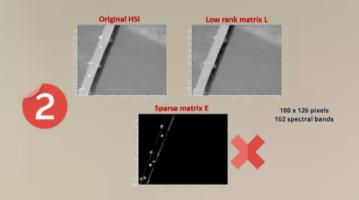


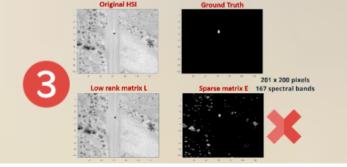


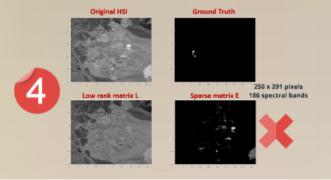


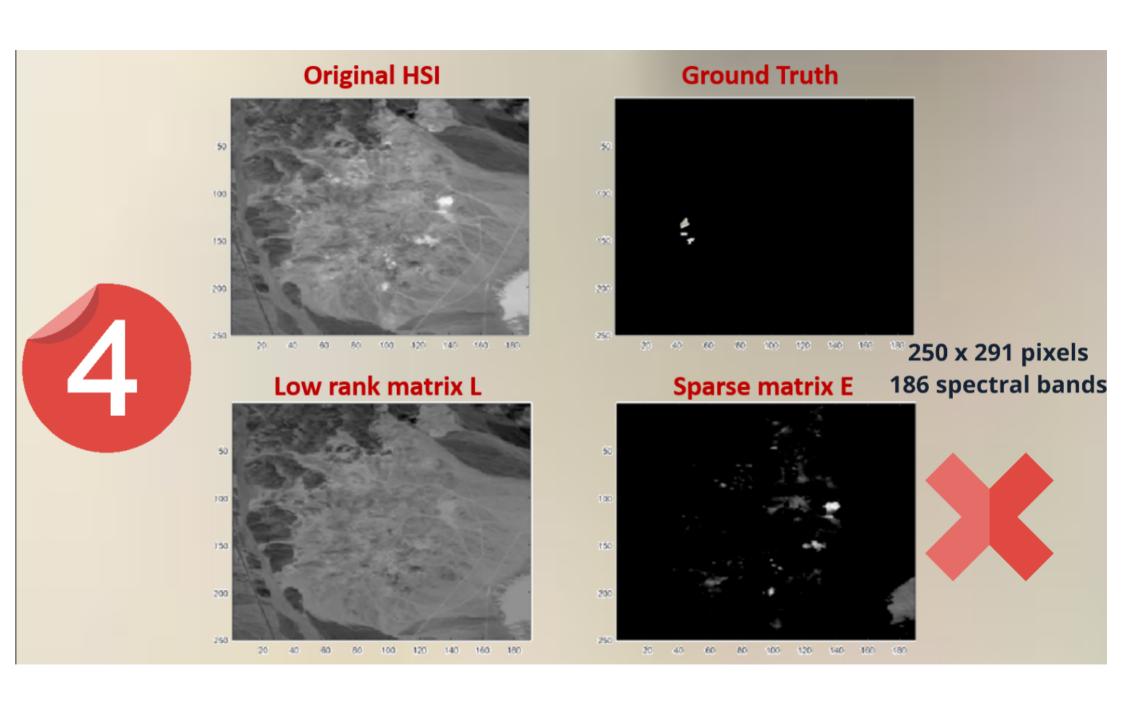












Let us modify the RPCA (1/3)

We suppose that a target prior information is provided to the user:

The target spectra is known

Our problem formulation:

1. Let us consider that the given HSI contains q pixels of the form:

$$\mathbf{x}_i = \alpha_i \, \mathbf{t}_i + (1 - \alpha_i) \, \mathbf{b}_i, \quad 0 < \alpha_i \le 1 \quad i \in [1, q]$$

2. Assume that all $\{\mathbf t_i\}_{i\in[1,q]}$ consist of similar materials:

$$\mathbf{x}_i = \alpha_i \sum_{j=1}^{N_t} \left(\beta_{i,j} \, \mathbf{a}_j^t \right) + (1 - \alpha_i) \, \mathbf{b}_i \quad i \in [1, q] \,.$$

Let us modify the RPCA (2/3)

$$\mathbf{D} = \mathbf{L}_0 + (\mathbf{A}_t \, \mathbf{C}_0)^T + \mathbf{N}_0$$

Let us modify the RPCA (3/3)

In order to recover the low rank and sparse components:

$$\min_{\mathbf{L},\mathbf{C}} \left\{ \tau \operatorname{rank}(\mathbf{L}) + \lambda \, \left\| \mathbf{C} \right\|_{2,0} + \left\| \mathbf{D} - \mathbf{L} - (\mathbf{A}_t \mathbf{C})^T \right\|_F^2 \right\} \quad \textbf{NP-HARD}$$



Convex surrogation

$$\min_{\mathbf{L}, \mathbf{C}} \left\{ \tau \| \mathbf{L} \|_* + \lambda \| \mathbf{C} \|_{2,1} + \left\| \mathbf{D} - \mathbf{L} - (\mathbf{A}_t \mathbf{C})^T \right\|_F^2 \right\}$$

Our novel target detector

We use $(\mathbf{A}_t \mathbf{C})^T$ directly as a detector !!

The sparse target image should be very sparse with very little false alarms

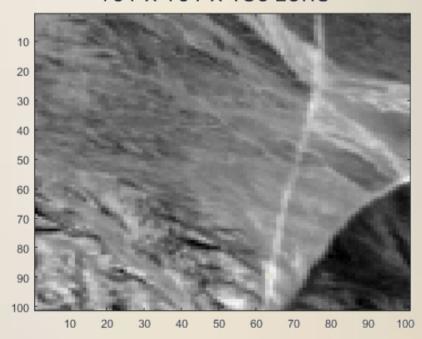
We do not need the the target fraction to be entirely removed and deposited in the sparse image

The choice of λ should be high enough !!



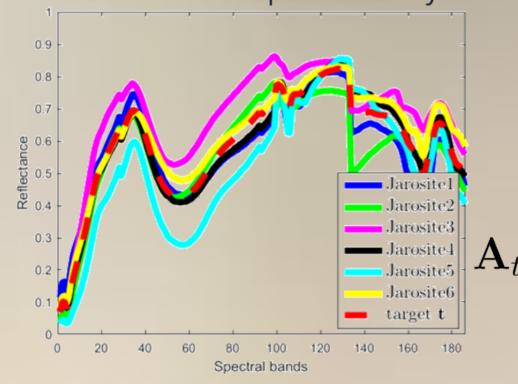
Synthetic Application to target detection (1/10)

101 x 101 x 186 zone



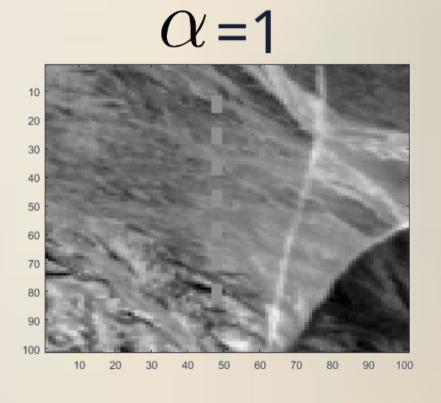
7 target blocks are incorporated in the image for 7 target blocks are incorporated in the image for $\alpha \in [0.01,1]$

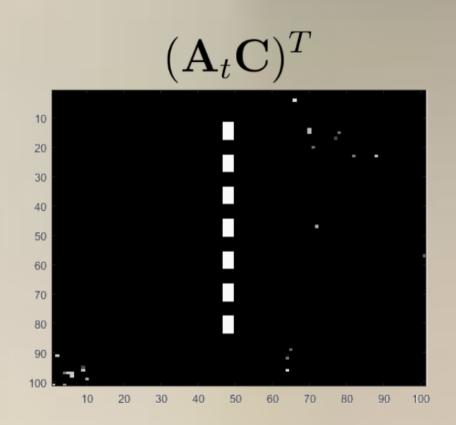
Jarosite target samples taken from the USGS spectral library





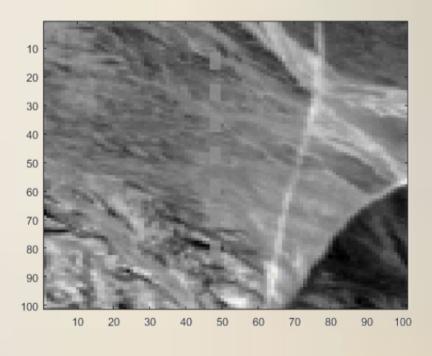
Synthetic Application to target detection (2/10)

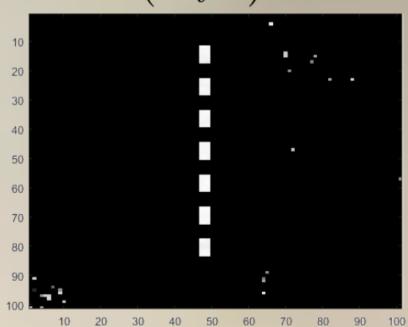




Synthetic Application to target detection (3/10)

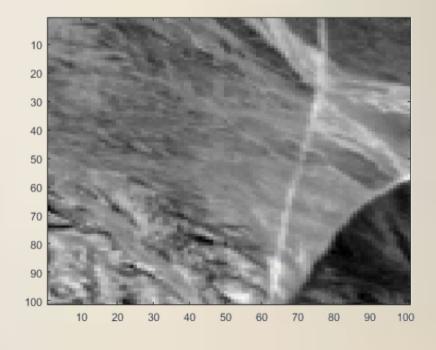
$$\alpha$$
=0.8

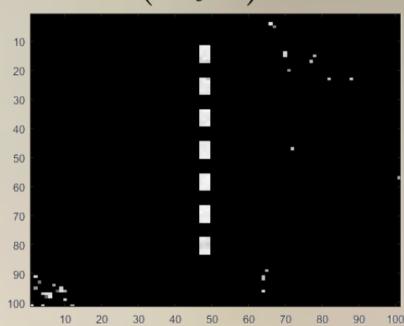




Synthetic Application to target detection (4/10)

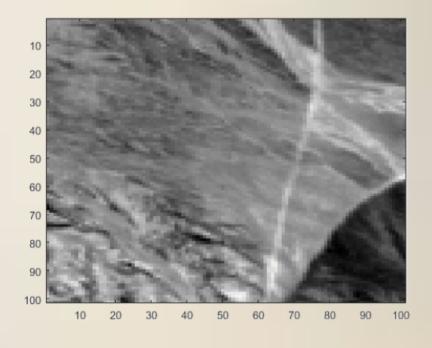
$$\alpha$$
=0.5

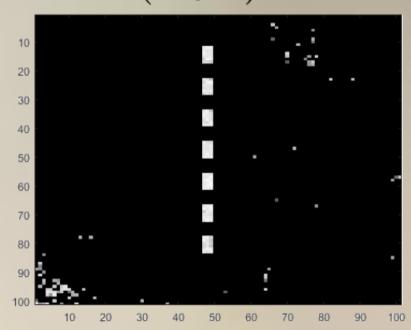




Synthetic Application to target detection (5/10)

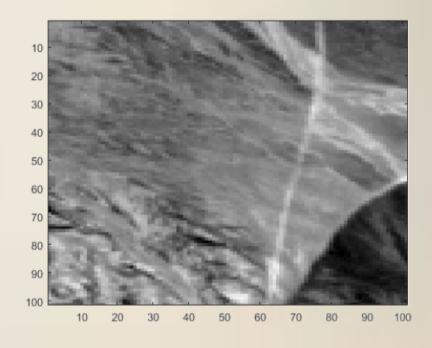
$$\alpha$$
=0.3

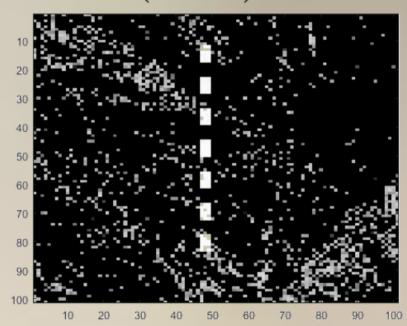




Synthetic Application to target detection (6/10)

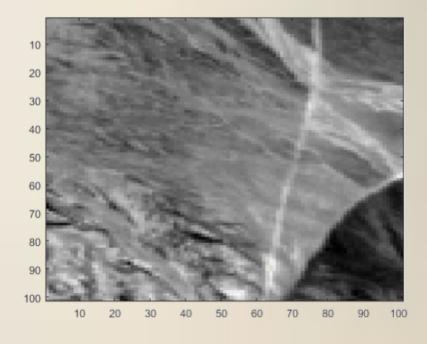
$$\alpha$$
=0.1

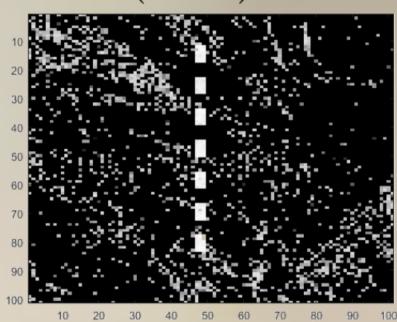




Synthetic Application to target detection (7/10)

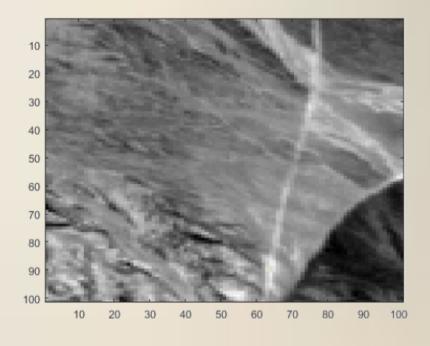
$$\alpha$$
=0.05

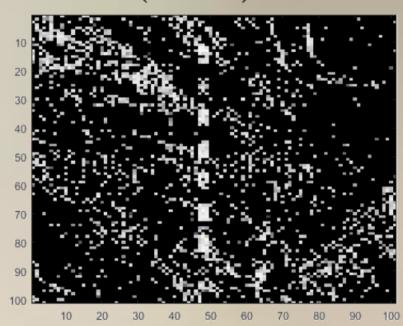




Synthetic Application to target detection (8/10)

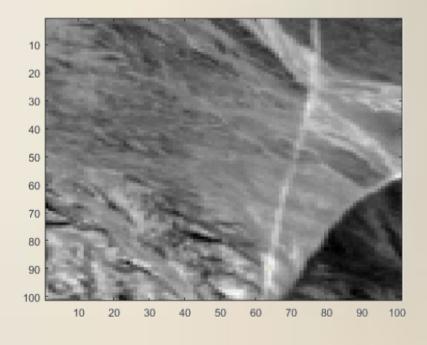
$$\alpha$$
=0.02

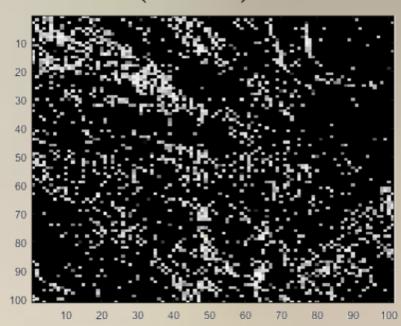




Synthetic Application to target detection (9/10)

$$\alpha$$
=0.01





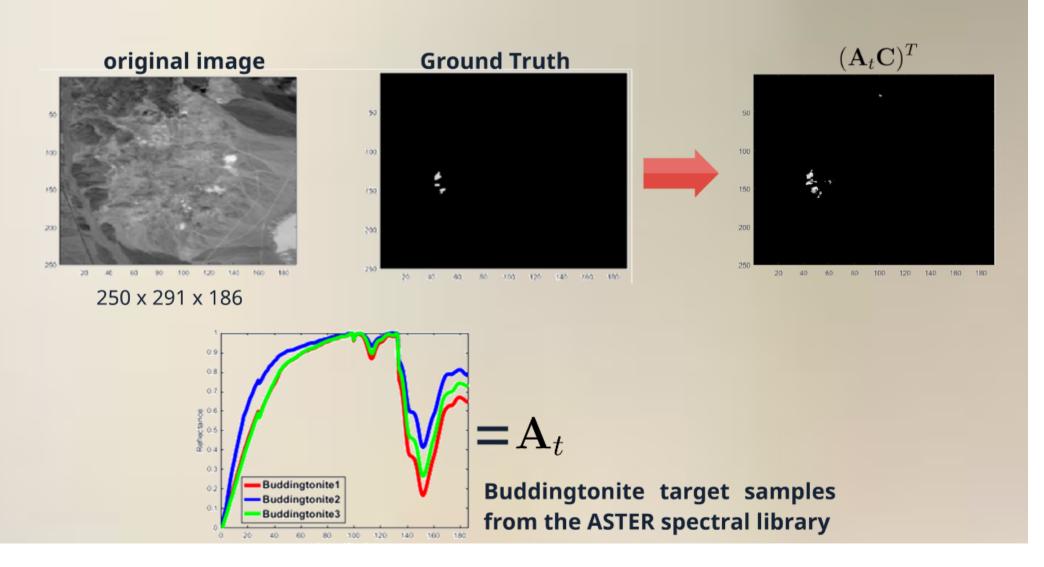
Synthetic Application to target detection (10/10)

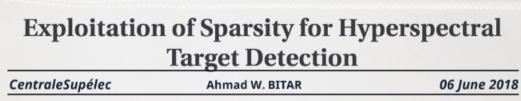
Some concluding remarks about the obtained results

- The target fill fraction affects the detection performance !!
- The results strongly depend on the relaxation norm used from the sparse matrix $({f A}_t{f C})^T$
- There is a room for non target signals to appear in the sparse image $(\mathbf{A}_t\mathbf{C})^T$



Real experiments for target detection





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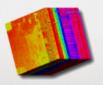
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The end Thank you .. Reason two: for any test pixel $\mathbf{x} \in \mathbb{R}^p$, it lies approximately in a low-dimensional subspace of the p-dimensional spectral measurement space

So how to exploit sparsity

The work of [Chen et al. 2011]

If x is pure background



x can be represented by few atoms taken from the *background dictionary*

If x is pure target



x can be represented by few atoms taken from the *target dictionary*

The work of [Zhang et al. 2015]

If $\mathbf{x} \in H_0$

x can be represented by few atoms taken from the background dictionary

If $\mathbf{x} \in H_1$

x can be represented by few atoms taken from the union of the background and *target dictionaries*



General background (1/3)

• If $\mathbf{x} \in H_0$:

$$\mathbf{x} = \varrho_1 \, \mathbf{a}_1^b + \varrho_2 \, \mathbf{a}_2^b + \dots + \varrho_{N_b} \, \mathbf{a}_{N_b}^b \,,$$
 $= \left[\mathbf{a}_1^b, \, \mathbf{a}_2^b, \, \dots, \, \mathbf{a}_{N_b}^b \right] \, \left[\varrho_1, \, \varrho_2, \, \dots, \, \varrho_{N_b} \right]^T \,,$
 $= \mathbf{A}_b \, \boldsymbol{\varrho}$
sparse vector

[Zhang et al. 2015]

• If $\mathbf{x} \in H_1$:

$$\begin{aligned} \mathbf{x} &= \beta_1 \, \mathbf{a}_1^b + \beta_2 \, \mathbf{a}_2^b + \dots + \beta_{N_b} \, \mathbf{a}_{N_b}^b + \theta_1 \, \mathbf{a}_1^t + \theta_2 \, \mathbf{a}_2^t + \dots + \theta_{N_t} \, \mathbf{a}_{N_t}^t \,, \\ &= \left[\mathbf{A_b} \, \mathbf{A}_t \right] \, \left[\boldsymbol{\beta}^T \, \boldsymbol{\theta}^T \right]^T \,, \\ &= \mathbf{A} \, \boldsymbol{\gamma} \end{aligned}$$
sparse vector

$$\hat{\boldsymbol{\varrho}} = \underset{\boldsymbol{\varrho}}{\operatorname{argmin}} \|\mathbf{x} - \mathbf{A}_b \boldsymbol{\varrho}\|_2 \quad \text{s.t.} \quad \|\boldsymbol{\varrho}\|_0 \le k_0,$$

$$\hat{\boldsymbol{\gamma}} = \underset{\boldsymbol{\gamma}}{\operatorname{argmin}} \|\mathbf{x} - \mathbf{A}\boldsymbol{\gamma}\|_{2} \quad \text{s.t.} \quad \|\boldsymbol{\gamma}\|_{0} \le k'_{0}$$

Orthogonal Matching Pursuit algorithm (OMP)

General background (1/3)

The SRBBH target detector:

$$D_{SRBBH}(\mathbf{x}) = \|\mathbf{x} - \mathbf{A}_b\,\hat{oldsymbol{arrho}}\|_2 - \|\mathbf{x} - \mathbf{A}\,\hat{oldsymbol{\gamma}}\|_2$$
 [Yuxiang Zhang]

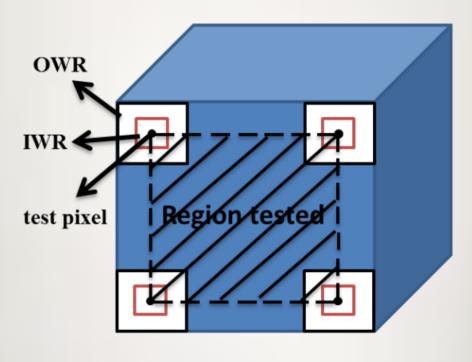
If
$$D_{SRBBH}(\mathbf{x}) > \eta$$
 target present

If
$$D_{SRBBH}(\mathbf{x}) \leq \eta$$
 target absent

General background (1/3)



The usual estimation of ${f A}_b$



The dual concentric window

The problem to solve

Is the dual concentric window good idea?



- Information about the target size is not available!
- The target could be of irregular shape!

STOP USING IWR



The problem to solve

Is the dual concentric window good idea?



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The problem to solve

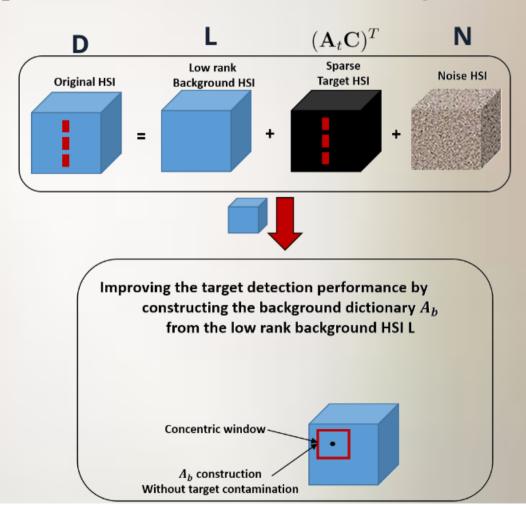
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STOP USING IWR

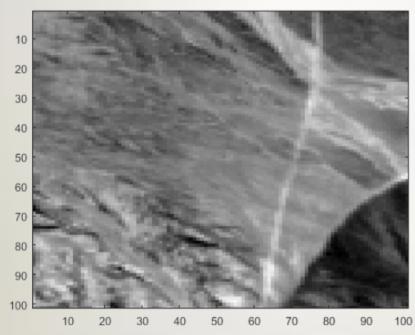
Let us improve the usual ${f A}_b$ construction



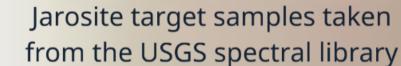
Synthetic Application to target detection (1/11)

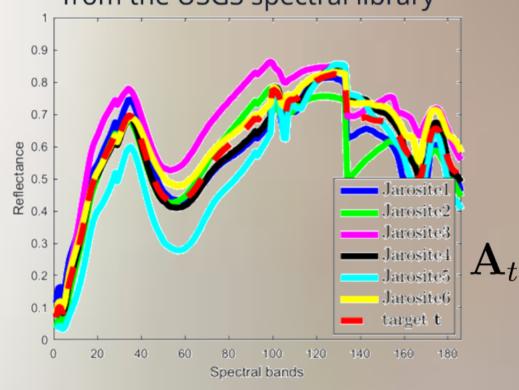
Same application as before

101 x 101 x 186 zone



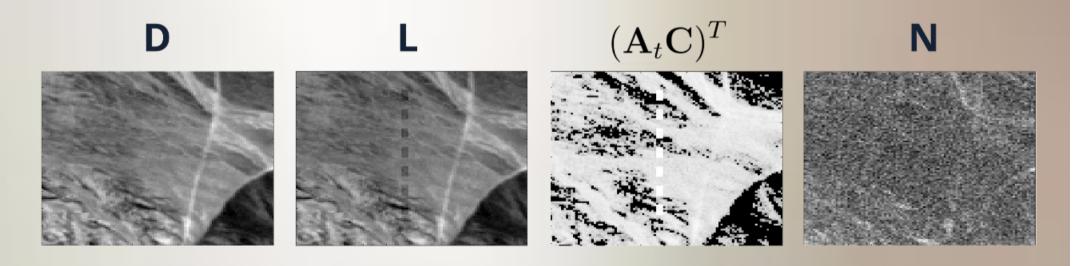
7 target blocks are incorporated in the image for 7 target blocks are incorporated in the image for $\alpha \in [0.01,1]$





Synthetic Application to target detection (2/11)

Separation evaluation our target and background separation model



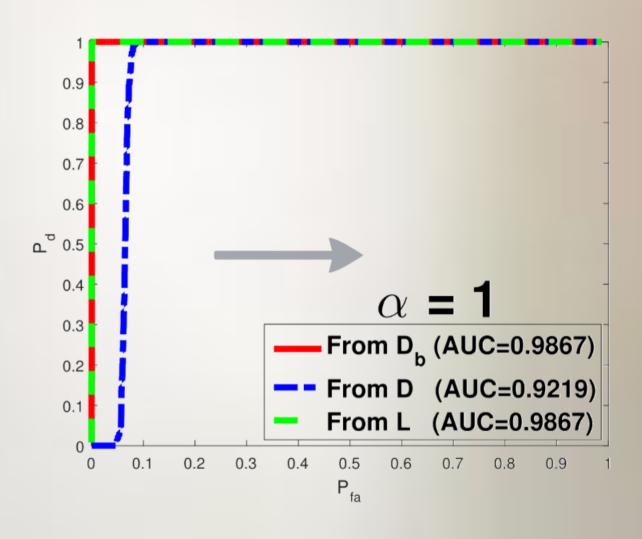
$$\alpha = 0.1$$

Synthetic Application to target detection (3/11)

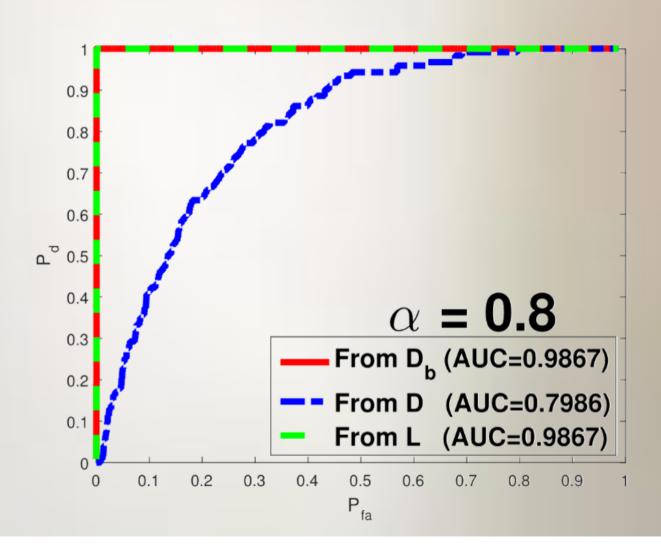
Concentric window of size: 5 x 5

We shall use \mathbf{D}_b Pure background HSI (without the targets)

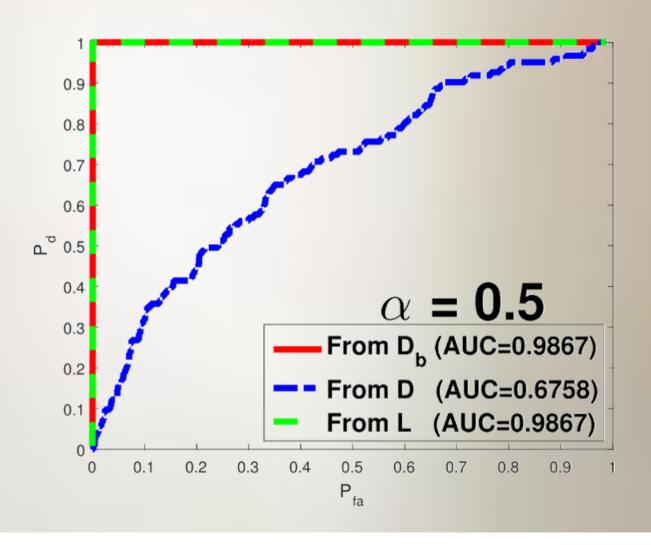
Synthetic Application to target detection (4/11)



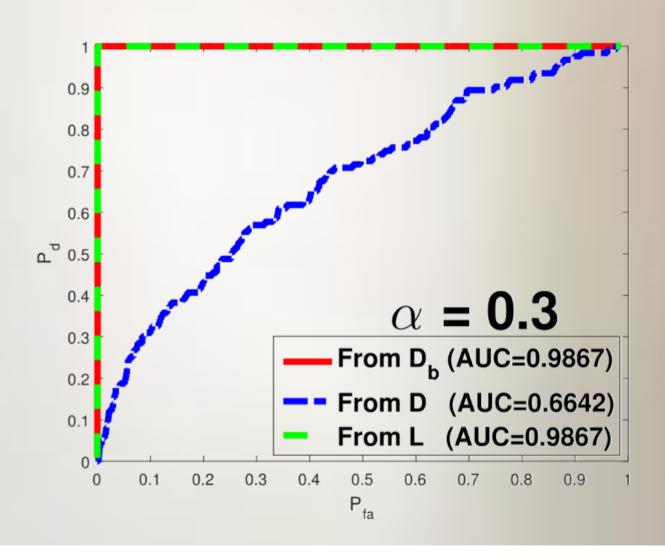
Synthetic Application to target detection (5/11)



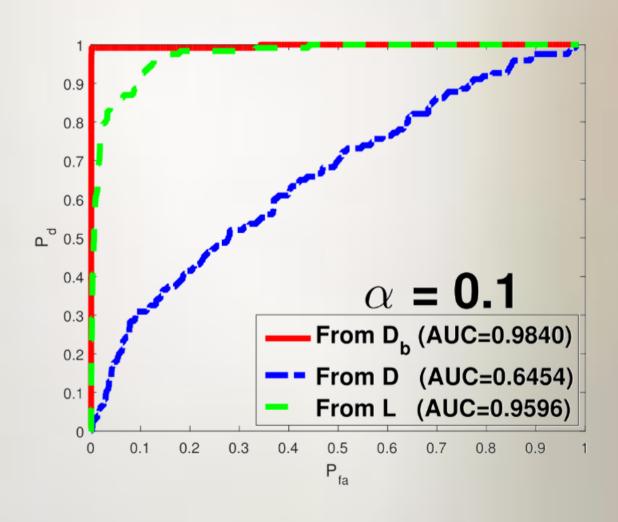
Synthetic Application to target detection (6/11)



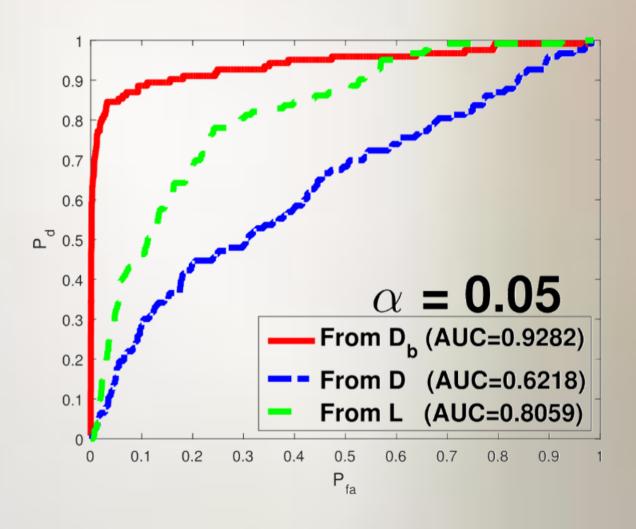
Synthetic Application to target detection (7/11)



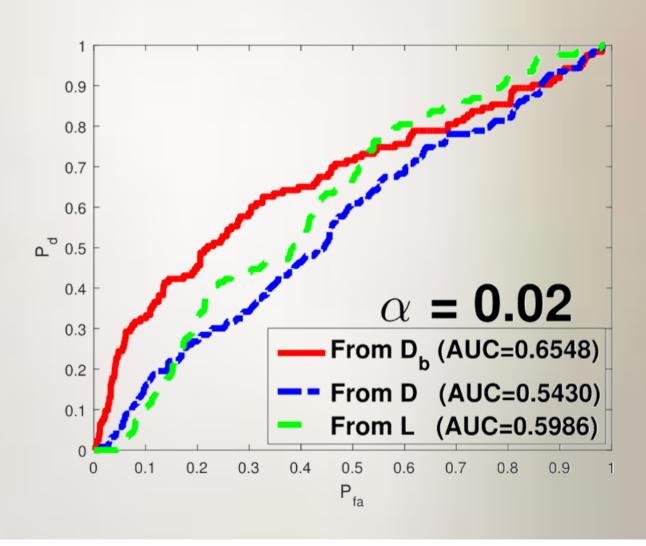
Synthetic Application to target detection (8/11)



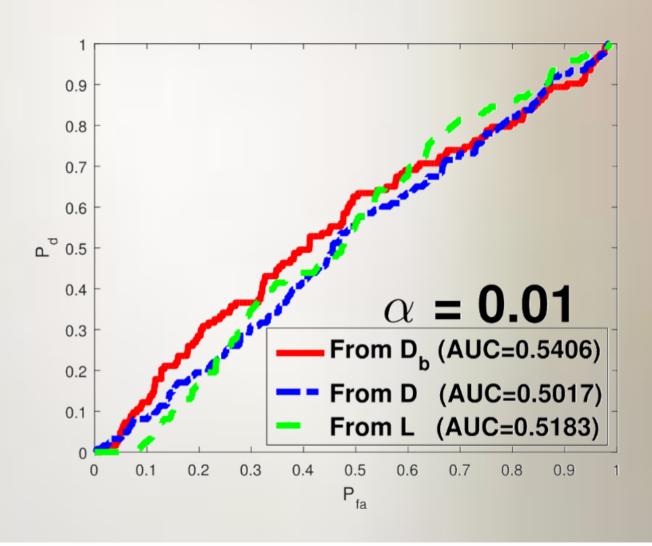
Synthetic Application to target detection (9/11)



Synthetic Application to target detection (10/11)

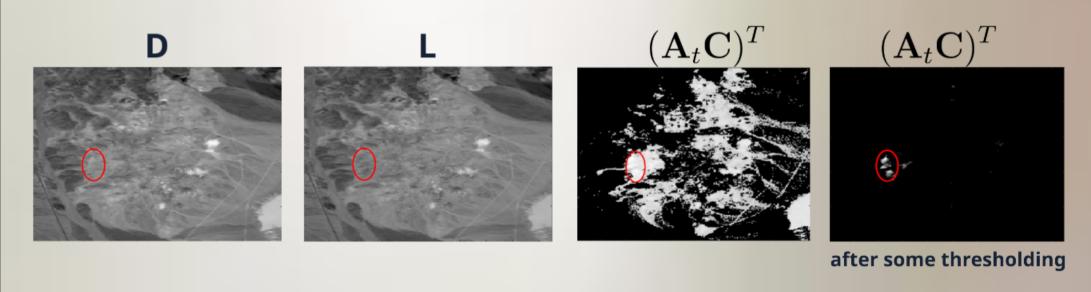


Synthetic Application to target detection (11/11)

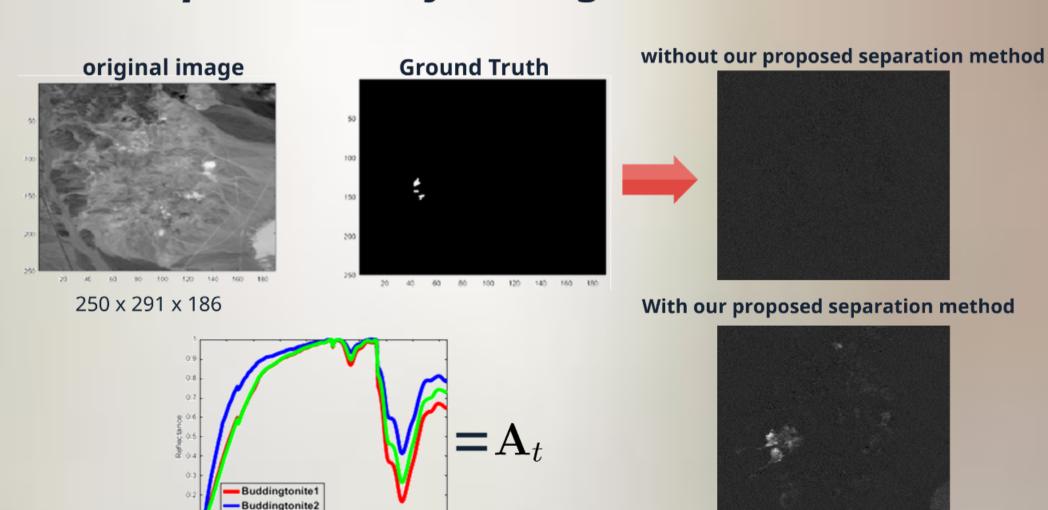


Real experiments for target detection (1/2)

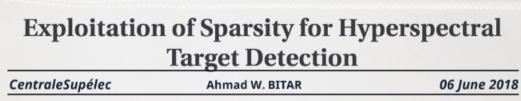
Separation evaluation our target and background separation model



Real experiments for target detection (2/2)



Buddingtonite3



Reason one: The targets occupy a very small part of the entire image scene



The targets are spatially sparse (few pixels in a million pixel image). The background has a ow rank property. Based on these two assumptions, we propose a novel target detector for hyperspectral imagery.

Reason two: A hyperspectral test pixel lies in a low dimensional subspace

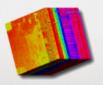
A hyperspectral test pixel lies in a low dimensional subspace of the p dimensional spectral measurement space. The harkground dictionary is usually constructed via a dual siding concentric



We aim to alleviate the serious challenge on building the dictionary of the background. Following which

various detectors can be used to carry out a binary hypothesis test.

Reason three: The covariance estmation is challenging in large dimensions



The traditional covariance estimators (e.g. the Sample Covariance, Tyler estimator) behave very poorly in large dimensions. We propose new estimators by assuming the covariance matrix is sparse, namely, many entries

Some concluding remarks and directions for future work

The direct use of RPCA Several is inadequate to methods have been distinguishing the proposed and tested true targets from the on both synthetic and background. A real datasets for an modification of it is automatic necessary.

The end Thank you ..

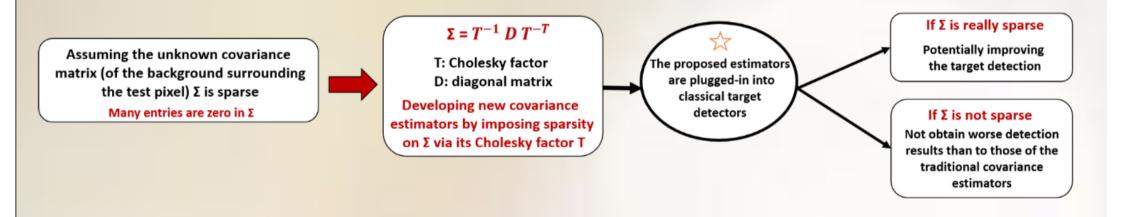
Reason three: the covariance estimation is very challenging in large dimensions

- In large dimensions, it is impractical to use traditional covariance estimators
- Sparsity assumption to alleviate the large covariance dimensionality

many entries are zero!

So how to exploit sparsity

Our main contributions and results can be found in the thesis report!



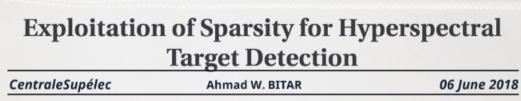
Some of the obtained results

Models	Σ	$\hat{oldsymbol{\Sigma}}_{SCM}$	$\hat{oldsymbol{\Sigma}}_{OLS}$	$\hat{oldsymbol{\Sigma}}_{OLS}^{Soft}$	$\hat{oldsymbol{\Sigma}}_{OLS}^{SCAD}$	$\hat{oldsymbol{\Sigma}}_{L_1}$	$\hat{oldsymbol{\Sigma}}_{SCAD}$	$\hat{oldsymbol{\Sigma}}_{SMT}$	$B_k(\hat{\mathbf{\Sigma}}_{SCM})$	$\hat{oldsymbol{\Sigma}}_{SCM}^{Soft}$	$\hat{oldsymbol{\Sigma}}_{SCM}^{SCAD}$
Model 1	0.9541	0.7976	0.8331	0.9480	0.9480	0.9509	0.9509	0.9503	0.9509	0.9509	0.9509
Model 2	0.9540	0.7977	0.8361	0.9124	0.9124	0.9264	0.9264	0.9184	0.9478	0.9274	0.9270
Model 3	0.9541	0.7978	0.8259	0.8169	0.8257	0.8236	0.8261	0.7798	0.5321	0.5969	0.5781
MUSE	Not known	0.6277	0.6575	0.9620	0.9643	0.8844	0.8844	0.7879	0.9277	0.7180	0.7180

Traditional estimators

Our proposed estimators

State of the art



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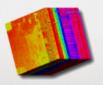
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The end Thank you ..

Conclusion

Three reasons of sparsity have been presented:

The first reason

- The RPCA is inadequate to distinguishing the true targets from the background.
- The RPCA is modified for automatic target detection : $\mathbf{D} = \mathbf{L} + (\mathbf{A}_t \mathbf{C})^T + \mathbf{N}$
- The object of interest is : $(\mathbf{A}_t \mathbf{C})^T$ it is directly used for the detection

The second reason

 The background dictionary construction has been improved by exploiting the sparse and target separation model proposed in the reason one

Directions for future work

- Evaluate the proposed methods on more real datasets.
- The use of other proxies than the $l_{2,1}$ (closer to the $l_{2,0}$) which can help to alleviate the $l_{2,1}$ artifact and probably facilitate manual selection problem of the tuning parameters τ and λ .

The end

Thank you ...