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Complex-Valued Neural Networks for Radar Applications

J. Agustín BARRACHINA

*ONERA, DEMR
CentraleSupélec, SONDRRA
ED STIC Paris-Saclay
Founding DGA-ONERA*

Reviewer

Reviewer

Examiner

Examiner

Examiner

Thesis Director:

Co-Supervisor:

ONERA supervisors:

Florence TUPIN

Alexandre BENOIT

Laurent FERRO-FAMIL

Mihai DATCU

Jérémy FIX

Jean-Philippe OVARLEZ

Chengfang REN

Christèle MORISSEAU

Gilles VIEILLARD

- Introduction
- Complex-Valued Neural Networks
- Non-circular complex Gaussian data classification
- Real-equivalent neural networks
- PolSAR image segmentation task
- Conclusions and perspectives

Motivation

- Given a large set of data (z_i, l_i) , find a map f such that $f(z_i) = l_i$
- f is a neural network based on real-valued features and operations ($f_{\mathbb{R}}$)
- Radar signals are generally complex-valued
 - Polarimetric channels
 - Interferometric channels
 - In-Phase and Quadrature channels with reduced Shannon sampling rate
- Radar processing are mainly based on complex filtering
 - Fourier Transform
 - Wiener
 - Wavelets

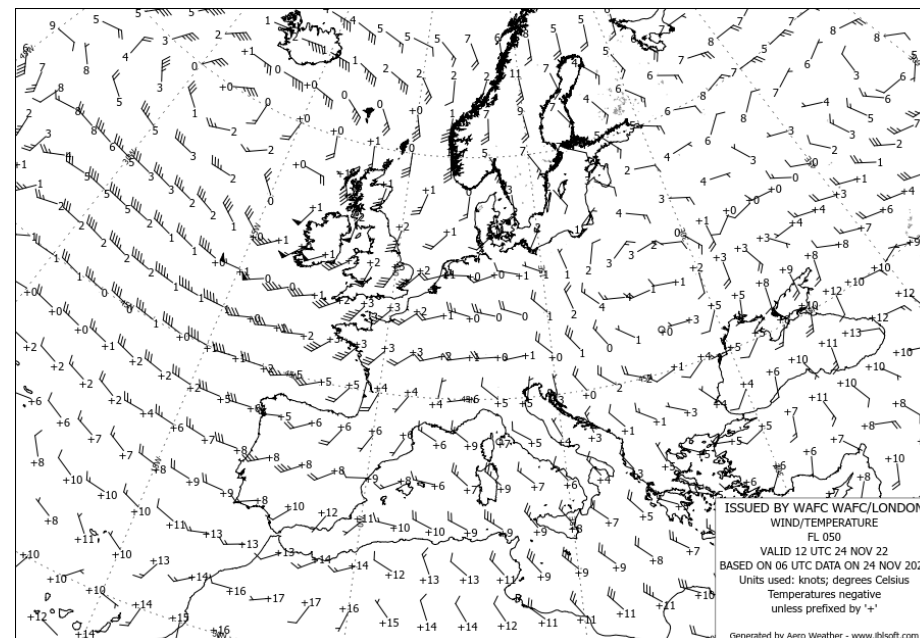
Can complex-valued neural networks ($f_{\mathbb{C}}$) exploit phase information to achieve better results than real-valued neural networks?

Motivation

- Convolutional operations are translation invariant, helping image recognition algorithms to detect objects regardless of their location
- Complex multiplication can naturally deal with phase and amplitude independently.
- Real values cannot rotate any complex value to a constant

Example: Rotate any complex value ϕ degrees.

Solution: By using $z_2 = \rho_2 \cdot e^{j\phi} \rightarrow z_1 \cdot z_2 = \rho_1 \rho_2 \cdot e^{j(\phi_1 + \phi)}$



“In summary, the phase rotation and amplitude amplification/attenuation are the most important features of complex numbers” [1]

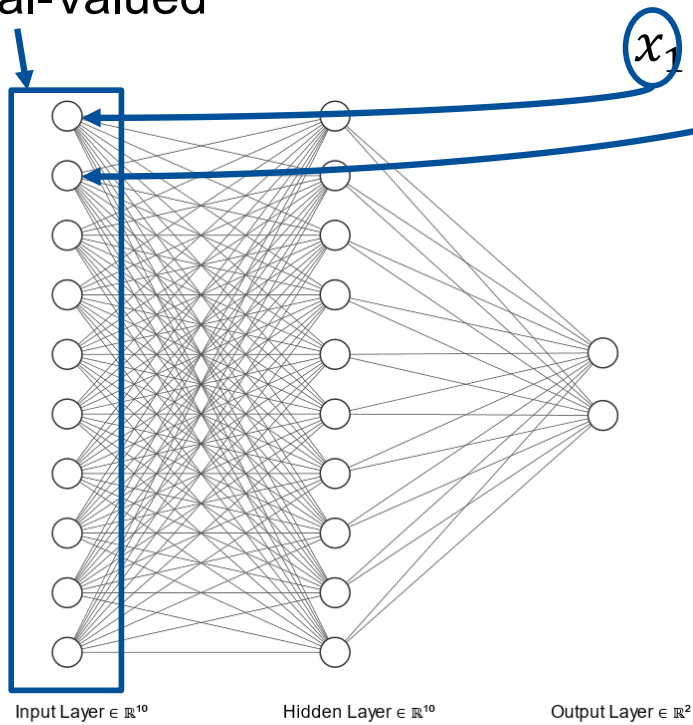
[1] A. Hirose, “Complex-Valued Neural Networks: Advances and Applications” *IEEE Press Series on Computational Intelligence*, 2013.

Outline

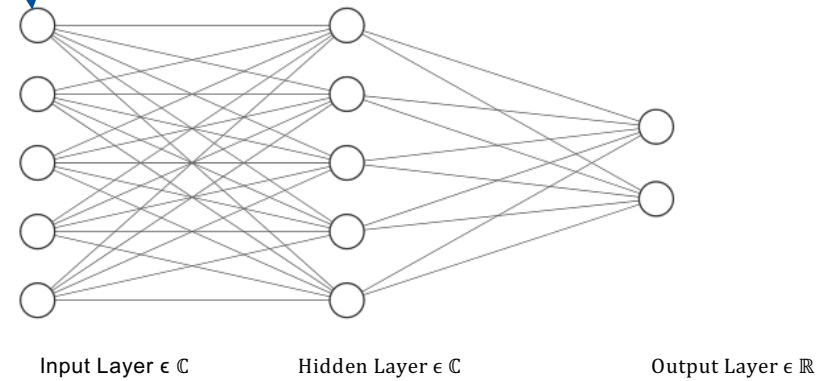
- Introduction
- Complex-Valued Neural Networks (CVNN)
- **Components**
- **Implementation toolbox**
- PoSAR image segmentation task
- Conclusions

Components: Input representation

Real-Valued



$$x_1 + iy_1 = z_1$$



Components: Convolutional Layer

Real part

0	0	0	0	0	0	...
0	156	155	156	158	158	...
0	153	154	157	159	159	...
0	149	151	155	158	159	...
0	146	146	149	153	158	...
0	145	143	143	148	158	...
...

Input Channel #1 (Red)

Imaginary part

0	0	0	0	0	0	...
0	167	166	167	169	169	...
0	164	165	168	170	170	...
0	160	162	166	169	170	...
0	156	156	159	163	168	...
0	155	153	153	158	168	...
...

Input Channel #2 (Green)

Complex convolutional layer

0	0	0	0	0	0	...
0	1+j	j	4+3j	1	2+5j	...
0	5+6j	5+6j	1+4j	3+j	8j	...
0	2+2j	2+5j	9+5j	2+7j	7j	...
0	7+7j	4+j6	3+4j	6+2j	8+5j	...
0	6+7j	6+3j	3+3j	5+2j	2j	...
...

-1	-1	1
0	1	-1
0	1	1

Kernel Channel #1



308

1	0	0
1	-1	-1
1	0	-1

Kernel Channel #2



-498

+

-1+j	-1	1
j	-j	-1-j
j	1	1-j

= 18 - 5j

+ 1 = -25

↑
Bias = 1

Output

-25				...
				...
				...
				...
...

Components: Network functions

- Inputs
- Trainable parameters
- Functions

Activation Functions:

$$f(z) = g(x) + jh(y) \quad (\text{Type A}) \longrightarrow \mathbb{C}ReLU(z) = ReLU(x) + jReLU(y)$$

$$f(z) = g(|z|)e^{jarg(z)} \quad (\text{Type B})$$

Loss Functions:

1. Output activation function casts to real

$$\text{ex. } \text{softmax}(z) = \begin{cases} \text{softmax}(\text{softmax}(x) \cdot \text{softmax}(y)) \\ (\text{softmax}(x) + \text{softmax}(y))/2 \\ \text{softmax}(|z|) \end{cases}$$

2. Loss function casts to real [2]

$$\text{ex. } LOSS_{ACE} = \frac{1}{2} (LOSS_{CCE}(x, \hat{x}) + LOSS_{CCE}(y, \hat{y}))$$

Optimizers:

Same as conventional real-valued neural networks!

[1] Kuroe, et al., "On activation functions for complex-valued neural networks", *Artificial Neural Networks and Neural Information Processing - ICANN/ICONIP*. Springer, Berlin, Heidelberg, 2003.

[2] Cao, et al., "Pixel-wise PolSAR image classification via a novel complex-valued deep fully convolutional network", *Remote Sensing*, 2019.

Components: Complex-Backpropagation

- Inputs
- Trainable parameters
- Functions
- Learning algorithm

To optimize the complex-valued weights, using a gradient descent technique, we need to compute the partial derivatives of the loss function

$$f: \mathbb{C} \rightarrow \mathbb{R} \text{ relatively to these weights}$$

Liouville's theorem:

“Given f analytic (differentiable) and bounded in all the complex domain, then f is a constant function”

Wirtinger Calculus:

$$\frac{\partial f}{\partial z} \triangleq \frac{1}{2} \left(\frac{\partial f}{\partial x} - j \frac{\partial f}{\partial y} \right) \qquad \frac{\partial f}{\partial \bar{z}} \triangleq \frac{1}{2} \left(\frac{\partial f}{\partial x} + j \frac{\partial f}{\partial y} \right)$$


$$\nabla_z f \triangleq 2 \frac{\partial f}{\partial \bar{z}} = \frac{\partial f}{\partial x} + j \frac{\partial f}{\partial y}$$

[1] Fischer, Robert FH. Precoding and signal shaping for digital transmission. John Wiley & Sons, 2005.

Implementation toolbox 1/4



cvnn 1.2.10 ✓ Latest version

`pip install cvnn` 

Released: Dec 13, 2021

Library to help implement a complex-valued neural network (cvnn) using tensorflow as back-end

Navigation

- [Project description](#)
- [Release history](#)
- [Download files](#)

Project description

Complex-Valued Neural Networks (CVNN)

Done by @NEGU93 - J. Agustin Barrachina

docs passing pypi package 1.2.10 conda|NEGU93 v1.1.62 DOI 10.5281/zenodo.4452131

- Since v1.6 (28 July 2020), PyTorch now supports complex vectors and complex gradient.
- Since v1.12 (28 June 2022), Complex32 and Complex Convolutions in PyTorch.

But they are not yet ready to fully support CVNN implementation!

Implementation toolbox 2/4

 **cvnn** lines **117.9k** Public 

Library to help implement a complex-valued neural network (cvnn) using tensorflow as back-end

 Python  70  19

 **CVNN-PoISAR** lines **56.2k** Public 

Core code for simulations used for most of my publications

 Python  2  2

Complex-Valued Neural Networks (CVNN)

Done by @NEGU93 - J. Agustin Barrachina

docs **passing** pypi package **1.2.14** conda|NEGU93 **v1.2.12** DOI **10.5281/zenodo.4452131**

Installation Guide:

Using [Anaconda](#)

 6 Open 24 Closed

```
conda install -c negu93 cvnn
```


Using [PIP](#)

Vanilla Version installs all the minimum dependencies.


```
pip install cvnn
```

 [Readme](#)

 [MIT license](#)

 [Cite this repository](#) ▾

 **70 stars**

 **7 watching**

 **18 forks**

Used by **2**



@mscarpiniti / **CoVal-SGAN**



@MeerkatPerson / **ml_project2**

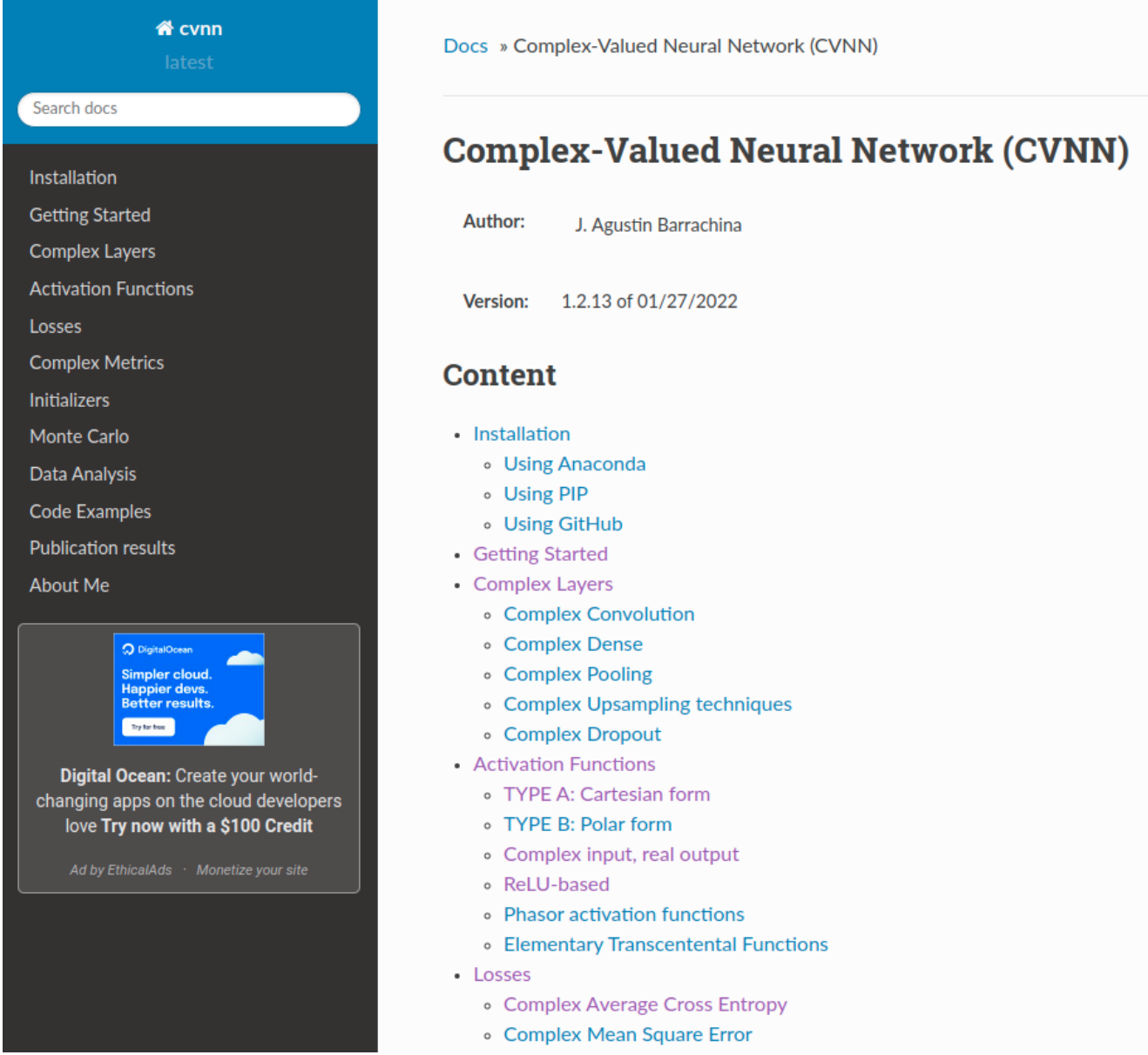
Implementation toolbox 3/4

79.000+ PIP downloads!

Summary

PyPI link	https://pypi.org/project/cvnn
Total downloads	78,724
Total downloads - 30 days	2,900
Total downloads - 7 days	1,114

Implementation toolbox 4/4



The screenshot shows the documentation website for the CVNN implementation toolbox. The left sidebar contains a navigation menu with the following items: Installation, Getting Started, Complex Layers, Activation Functions, Losses, Complex Metrics, Initializers, Monte Carlo, Data Analysis, Code Examples, Publication results, and About Me. The main content area displays the title 'Complex-Valued Neural Network (CVNN)' with the author 'J. Agustin Barrachina' and the version '1.2.13 of 01/27/2022'. Below this is a 'Content' section with a list of topics: Installation (Using Anaconda, Using PIP, Using GitHub), Getting Started, Complex Layers (Complex Convolution, Complex Dense, Complex Pooling, Complex Upsampling techniques, Complex Dropout), Activation Functions (TYPE A: Cartesian form, TYPE B: Polar form, Complex input, real output, ReLU-based, Phasor activation functions, Elementary Transcendental Functions), and Losses (Complex Average Cross Entropy, Complex Mean Square Error).

cvnn
latest

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Initializers
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Data Analysis
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About Me

Docs » Complex-Valued Neural Network (CVNN)

Complex-Valued Neural Network (CVNN)

Author: J. Agustin Barrachina

Version: 1.2.13 of 01/27/2022

Content

- Installation
 - Using Anaconda
 - Using PIP
 - Using GitHub
- Getting Started
- Complex Layers
 - Complex Convolution
 - Complex Dense
 - Complex Pooling
 - Complex Upsampling techniques
 - Complex Dropout
- Activation Functions
 - TYPE A: Cartesian form
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 - Complex input, real output
 - ReLU-based
 - Phasor activation functions
 - Elementary Transcendental Functions
- Losses
 - Complex Average Cross Entropy
 - Complex Mean Square Error

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Outline

- Motivation
- Complex-Valued Neural Networks
- Non-circular Gaussian classification
- Real Circularity Property
- Examples of generated data
- Model Architecture
 - Results
 - Conclusions

Circular property

Complex random variable $Z = X + jY$ is *circular* if Z has the same distribution as $e^{j\phi}Z$

$$* \rho_Z = \frac{\tau_Z}{\sigma_Z} \begin{cases} = 0 \rightarrow z \text{ is circular} \\ \neq 0 \rightarrow z \text{ not circular} \end{cases}$$

- $\tau_Z \triangleq E[(Z - E[Z])^2] = \sigma_X^2 - \sigma_Y^2 + 2j\sigma_{XY}$
- ~~$\sigma_Z^2 = \sigma_X^2 + \sigma_Y^2$~~

Two sources of non-circularity [1]:

1. Unequal variances
2. Correlation

$$\rho = \frac{E[(x - E[x])(y - E[y])]}{\sqrt{E[(x - E[x])^2]E[(y - E[y])^2]}}$$

[1] E. Ollila, "On the Circularity of a Complex Random Variable," in *IEEE Signal Processing Letters*, 2008.

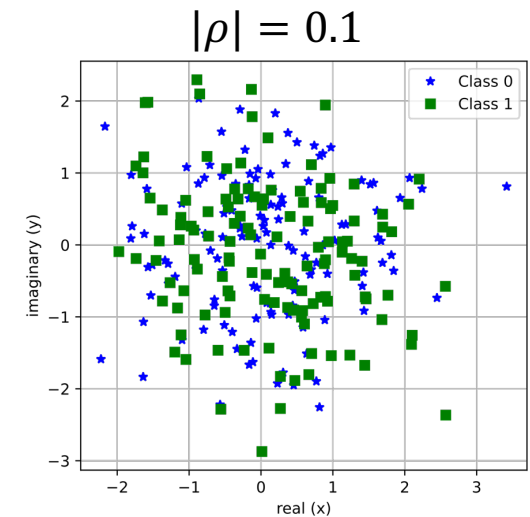
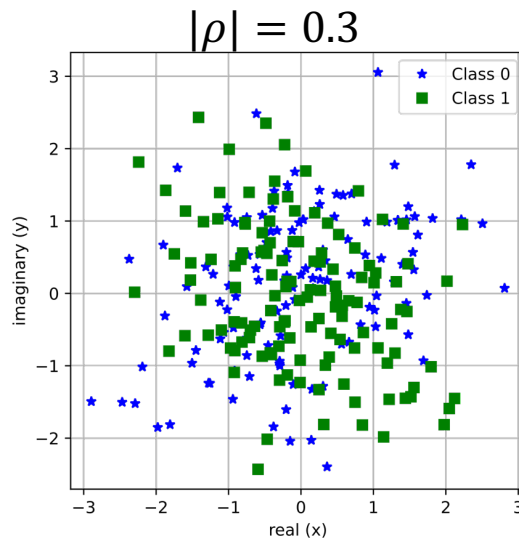
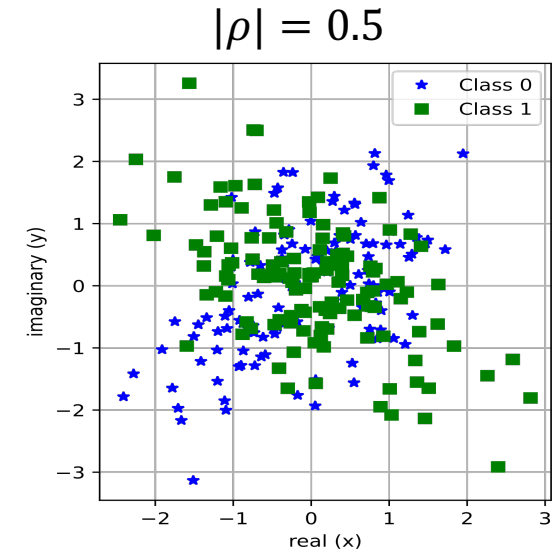
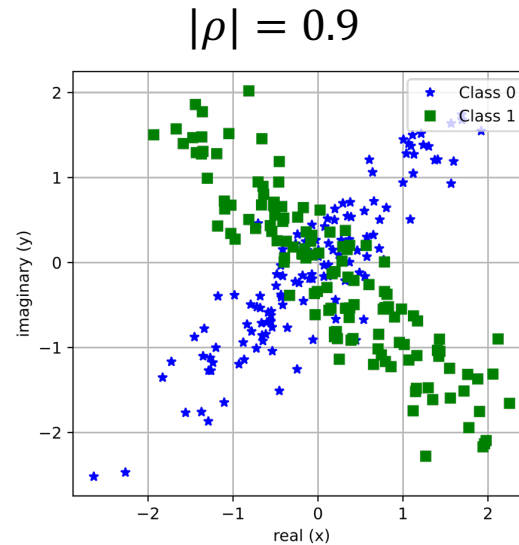
* For a Gaussian distribution

Examples of generated data

Both classes have the same $|\rho|$

1 vector of class 0: $\rho_0 = |\rho|$

1 vector of class 1: $\rho_1 = -|\rho|$



**Note:
Each point on the graph corresponds to one component of the input vector*

Model Architecture

Complex-Valued Multi-Layer Perceptron

Model:

- Loss: Categorical cross-entropy
- Weight initialization: Glorot uniform
- SGD (Stochastic Gradient Descent)
 - Learning rate 0.1
 - Wirtinger Derivative

	CVNN	RVNN
Input Size	128	256
Hidden Layer Size	64 (1HL) [100, 40] (2HL)	128 [200, 80]
Activation Function	ReLU Type A [2]	ReLU
Dropout	50%	50%
Output Size	2	2
Output Activation	Softmax over absolute value	Softmax

Dataset:

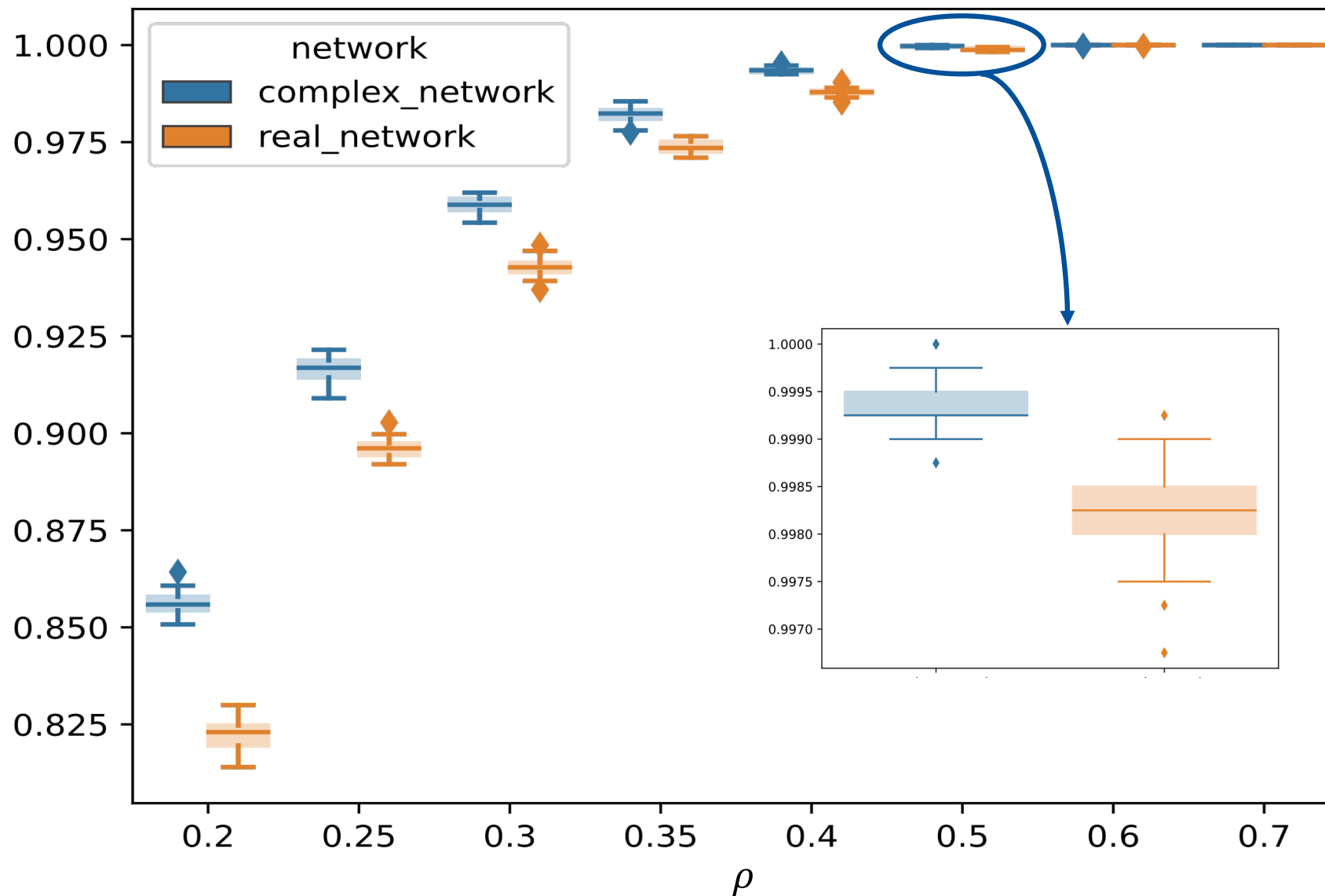
- Input vector size 128
- 8000 training vectors / class
- 2000 validation vectors / class

Simulation:

- 30 trials each model
- 300 epochs
- Batch size 100

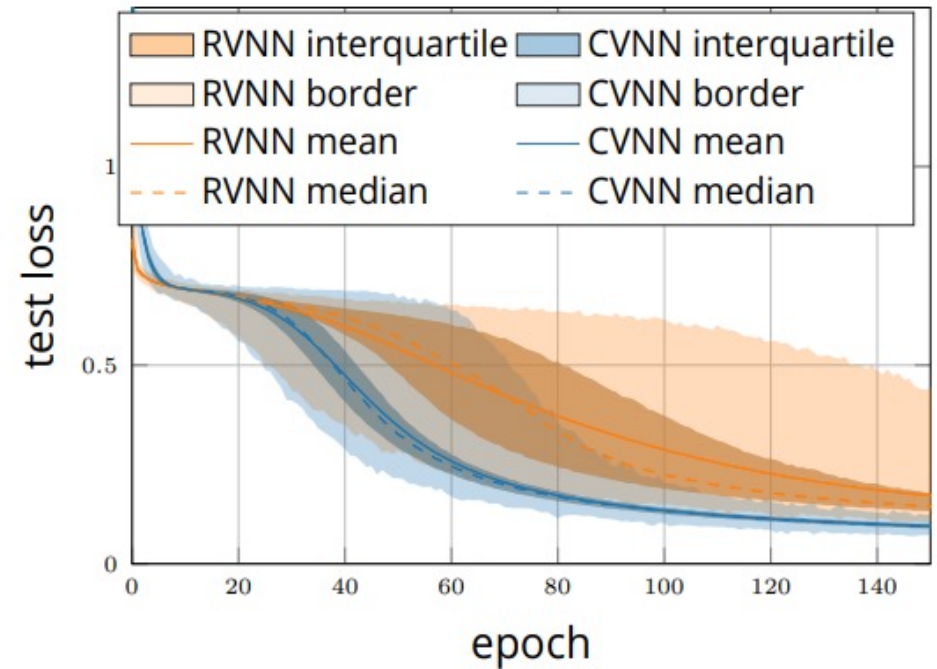
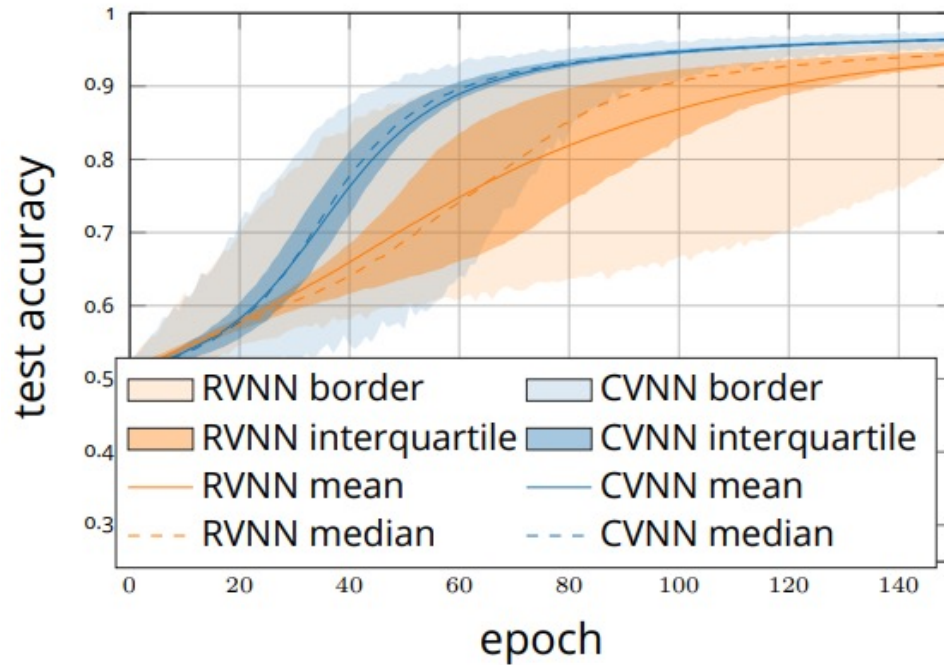
Results 1/3

Validation accuracy



Results 2/3

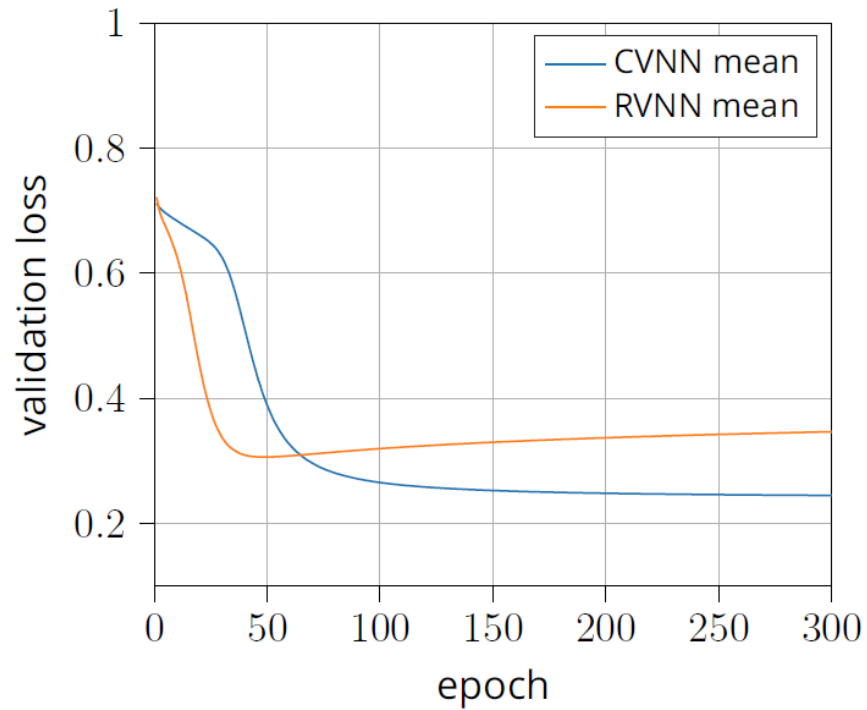
- CVNN converges faster



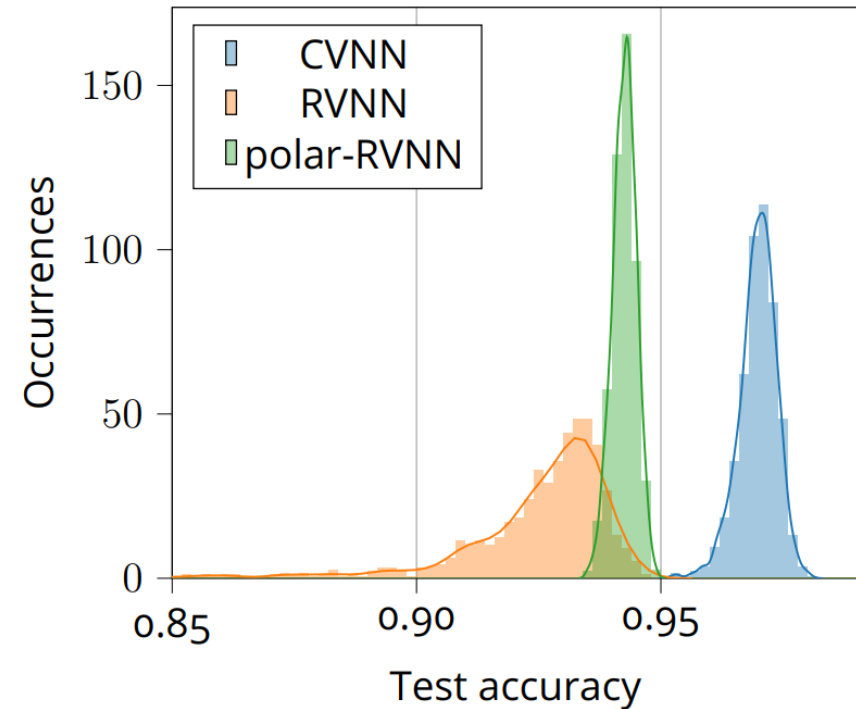
- $|\rho| = 0.3$
- 2 Hidden Layers

Results 3/3

Dropout influence



Input representation



- $|\rho| = 0.3$
- 2 Hidden Layers

Conclusions

- Almost 100 cases tested
 - Sources of Non-Circularity
 - Dropout influence
 - Number of layers
 - Input representation
 - Size of the hidden layers
 - Activation functions
 - Learning rate
- CVNNs generalize better
- In general, cases where RVNN outperformed CVNN
 - Under 60% accuracy



[1] Barrachina, Jose Agustin, et al. "Complex-valued vs. real-valued neural networks for classification perspectives: An example on non-circular data." IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP), 2021.

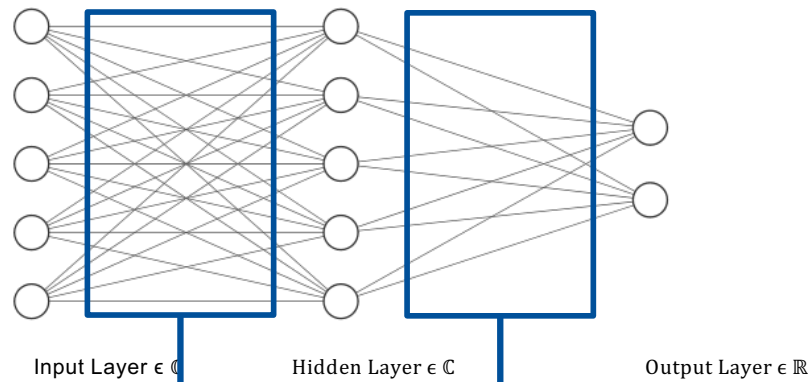
Outline

- Context / Motivation
- Complex-Valued Neural Networks
- Non-circular Gaussian classification
- Real-equivalent neural networks
- Fully-connected neural networks
- Convolutional neural networks
- Conclusions

Multi-Layer Perceptron (MLP) 1/2

What is a real-equivalent network? [1]

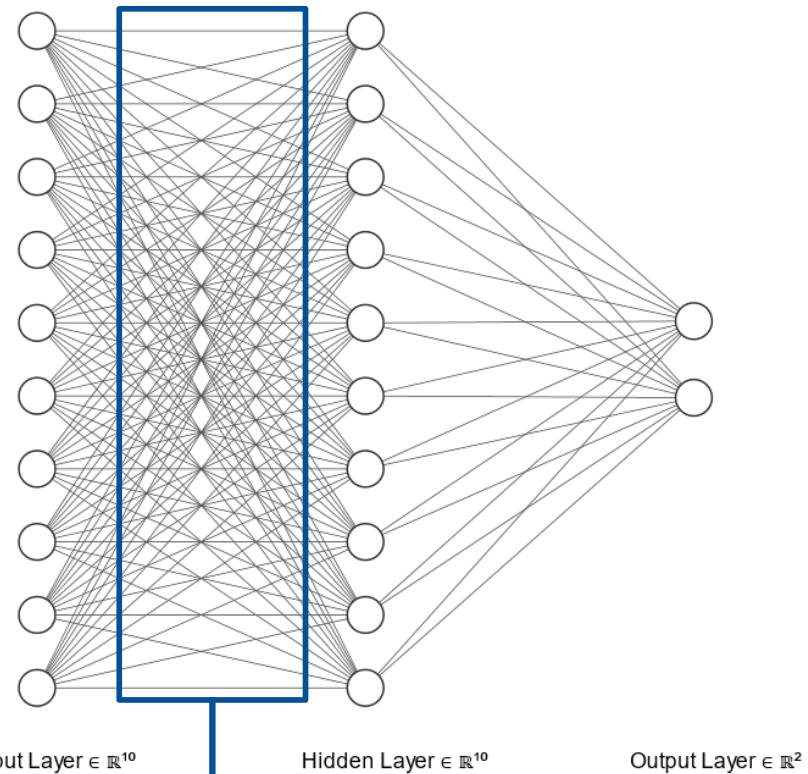
Complex Network



$$\left\{ \begin{array}{l} 5 * 2 = 10_{\mathbb{C}} = 20_{\mathbb{R}} \\ 10 * 2 = 20_{\mathbb{R}} \end{array} \right.$$

$$\left\{ \begin{array}{l} 5 * 5 = 25_{\mathbb{C}} = 50_{\mathbb{R}} \\ 10 * 10 = 100_{\mathbb{R}} \end{array} \right.$$

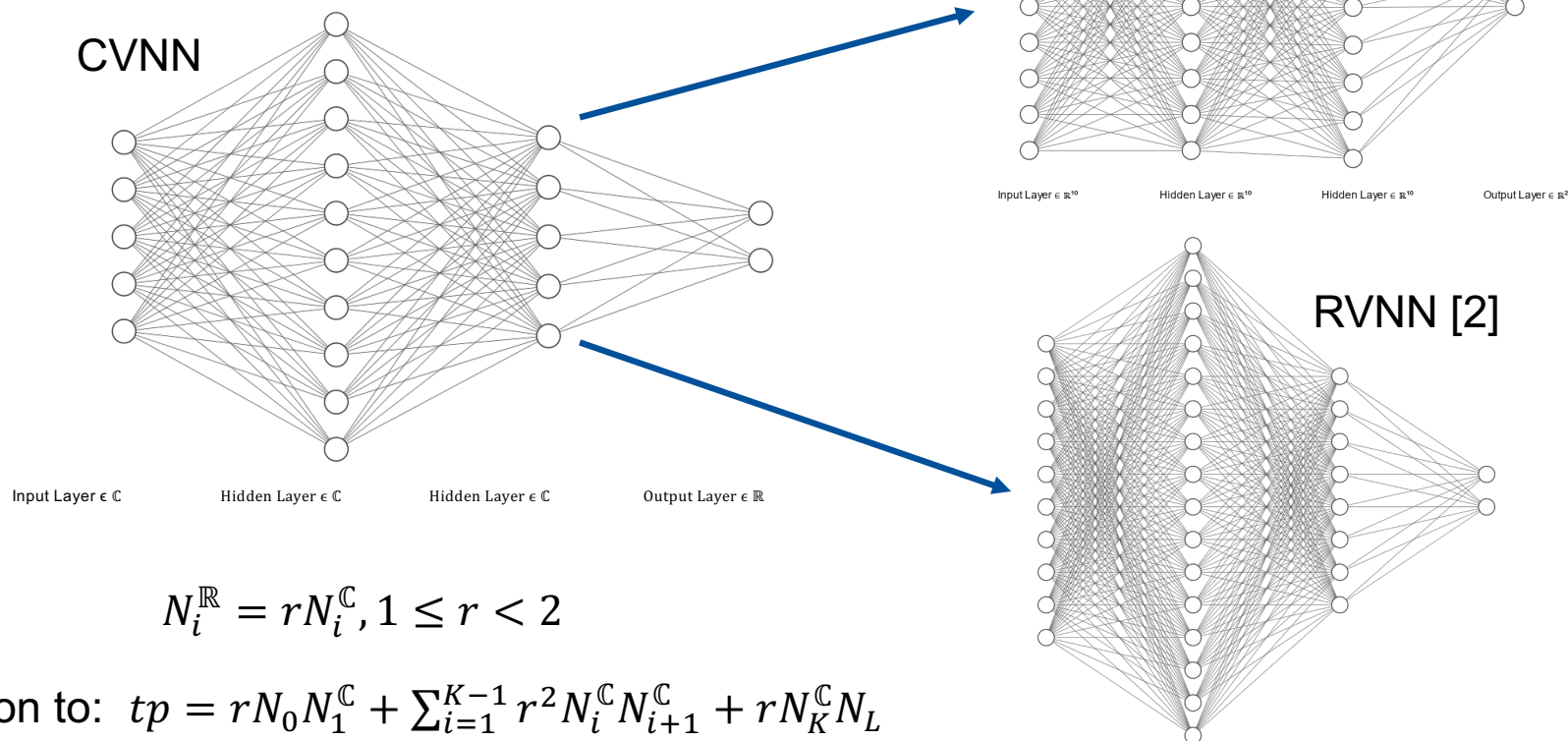
Real Network



[1] Mönning, et al. "Evaluation of complex-valued neural networks on real-valued classification tasks." *arXiv preprint arXiv:1811.12351*, 2018

Multi-Layer Perceptron (MLP) 1/2

Equivalent in terms of trainable parameters (tp)



[1] Mönning, et al. "Evaluation of complex-valued neural networks on real-valued classification tasks." *arXiv preprint arXiv:1811.12351*, 2018

[2] Barrachina et al. "About the Equivalence Between Complex-Valued and Real-Valued Fully Connected Neural Networks-Application to Polinsar Images." *IEEE Machine Learning for Signal Processing (MLSP)*, 2021.

Convolutional Neural Networks (CNN)

$$\left\{ \begin{array}{l} \text{tp}_{\mathbb{C}} = 2 \sum_{i=1}^K C_i^{\mathbb{C}} H_i^{\mathbb{C}} W_i^{\mathbb{C}} F_i^{\mathbb{C}} + F_i^{\mathbb{C}} \\ \text{tp}_{\mathbb{R}} = \sum_{i=1}^K C_i^{\mathbb{R}} H_i^{\mathbb{R}} W_i^{\mathbb{R}} F_i^{\mathbb{R}} + F_i^{\mathbb{R}} \end{array} \right.$$

Assumptions

- $C_i = F_{i-1}$, F_0 input channel dimension
- $H_i^{\mathbb{C}} = H_i^{\mathbb{R}}$; $W_i^{\mathbb{C}} = W_i^{\mathbb{R}}$

Equivalence

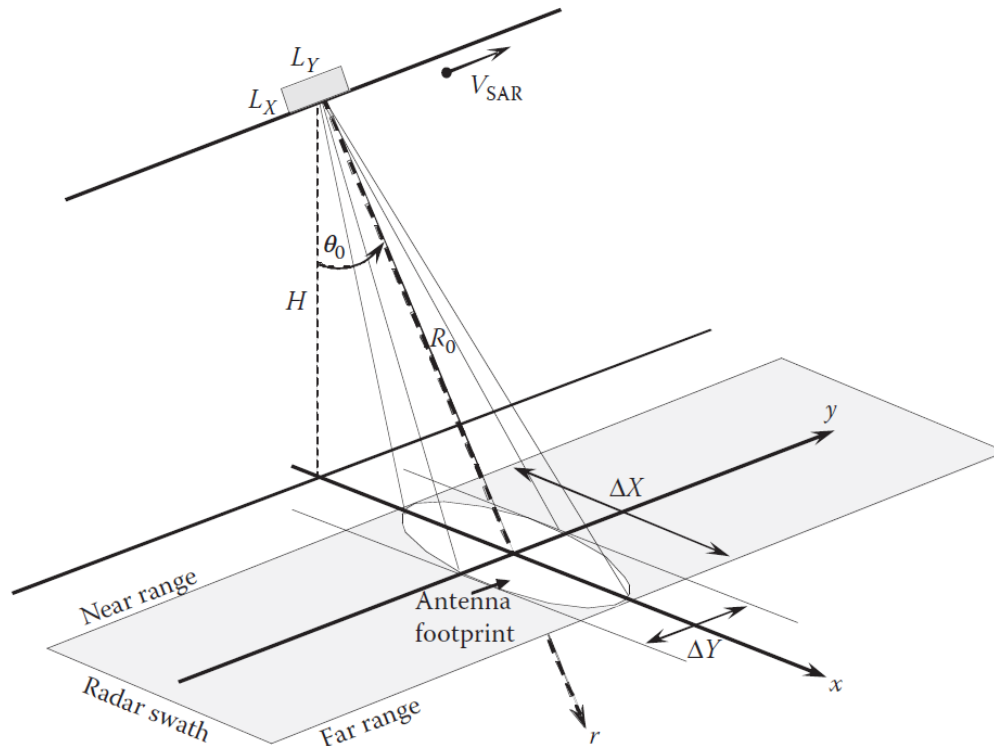
$$F_i^{\mathbb{R}} = r F_i^{\mathbb{C}}, \forall r \in \mathbb{R}$$

$$r = -\frac{\cancel{b}^0}{2a} + \sqrt{2 + \frac{\cancel{b}^0}{a} + \frac{\cancel{b^2}^0}{4a^2} + \frac{1}{a} \sum_{i=1}^K F_i} \xrightarrow{\text{for large } a} \sqrt{2} \left\{ \begin{array}{l} a = \sum_{i=2}^K F_{i-1}^{\mathbb{C}} H_i W_i F_i^{\mathbb{C}} \\ b = 2F_0^{\mathbb{C}} H_1 W_1 F_1^{\mathbb{C}} + \sum_{i=1}^K F_i \end{array} \right.$$

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 - Fully Convolutional Neural Networks (FCNN)
 - Conclusions / Perspectives
- Baseline experiments
 - Studies on input representation
 - Subsets correlation reduction
 - Accuracy balancing

PoISAR theory



Polarimetric data

Sinclair vector

$$S = (S_{HH}, \sqrt{2}S_{HV}, S_{VV})^T$$

Pauli vector

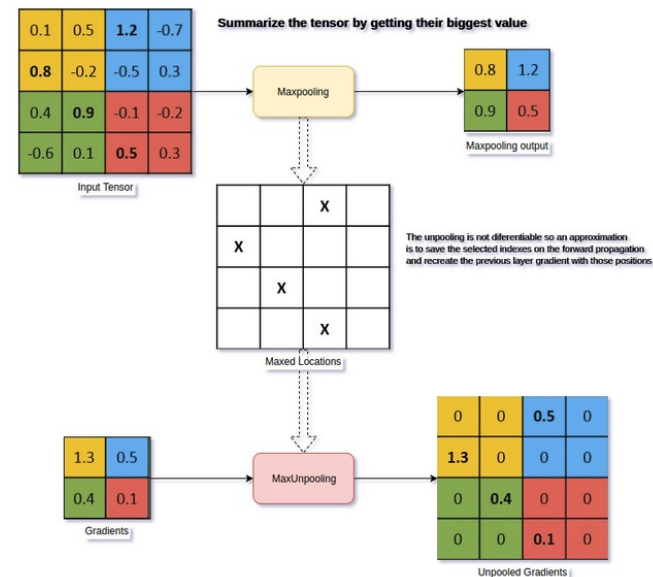
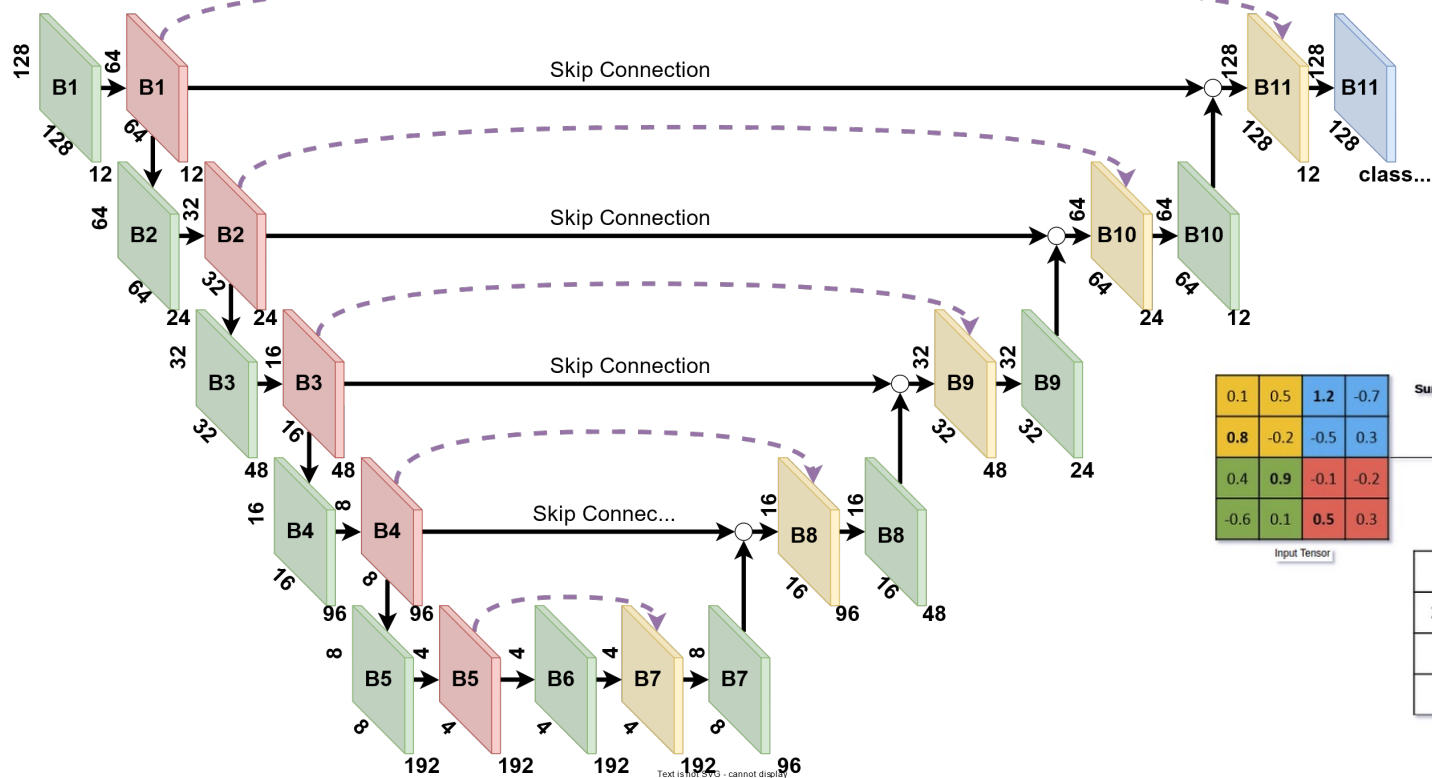
$$k = \frac{1}{\sqrt{2}} \begin{pmatrix} S_{HH} + S_{VV} \\ S_{HH} - S_{VV} \\ 2S_{HV} \end{pmatrix}$$

Coherency matrix

$$T = \frac{1}{n} \sum_j^n k_j k_j^H,$$

[1] Lee, Jong-Sen, and Pottier, Eric. "Polarimetric radar imaging: from basics to applications". CRC press, 2017.

Fully-convolutional Neural Networks (FCNN)



[1] Cao, et al. "Pixel-wise PoISAR image classification via a novel complex-valued deep fully convolutional network." *Remote Sensing*, 2019.

[2] Trabelsi, et al. "Deep complex networks". *arXiv preprint arXiv: 170509792*, 2017.

[3] Zafar, et al. "Hands-on convolutional neural networks with TensorFlow" *Packt Publishing Ltd*, 2018.

Baseline experiments: Oberpfaffenhofen data

E-SAR (Open-sourced: European Space Agency)

- German Aerospace Center (DLR) & Microwaves and Radar Institute
- L-Band
- 1988
- Size 1300x1200

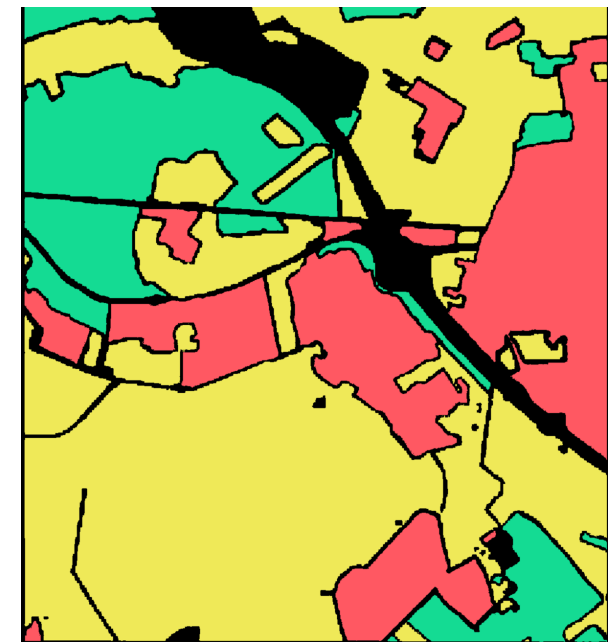
Polarimetric Interferometric data

- $\mathbb{C}^{6 \times 6}$ Hermitian
- Real-valued diagonal
- Total 21 values

PoInSAR image



Labels



A Built-up Area

B Wood Land

C Open Area

Sliding Window Operation (SWO)

[1] Li, Y, et al., "A Novel Deep Fully Convolutional Network for PoISAR Image Classification". *Remote Sensing*, 2018,

Baseline experiment: Oberpfaffenhofen results

Accuracy	FCNN [1]		CNN [2-5]		MLP [6]	
	CV	RV	CV	RV	CV	RV
Overall	98.55±0.21	98.23±0.15	96.45±0.04	96.32±0.04	88.87±0.03	88.03±0.13
Average	98.14±0.28	97.79±0.30	95.69±0.05	95.50±0.06	85.25±0.05	84.38±0.16

*On Oberpfaffenhofen PolSAR dataset

[1] Cao, et al., "Pixel-wise PolSAR image classification via a novel complex-valued deep fully convolutional network." *Remote Sensing*, 2019.

[2] Zhang, et al., "Complex-valued convolutional neural network and its application in polarimetric SAR image classification." *IEEE Transactions on Geoscience and Remote Sensing*, 2017.

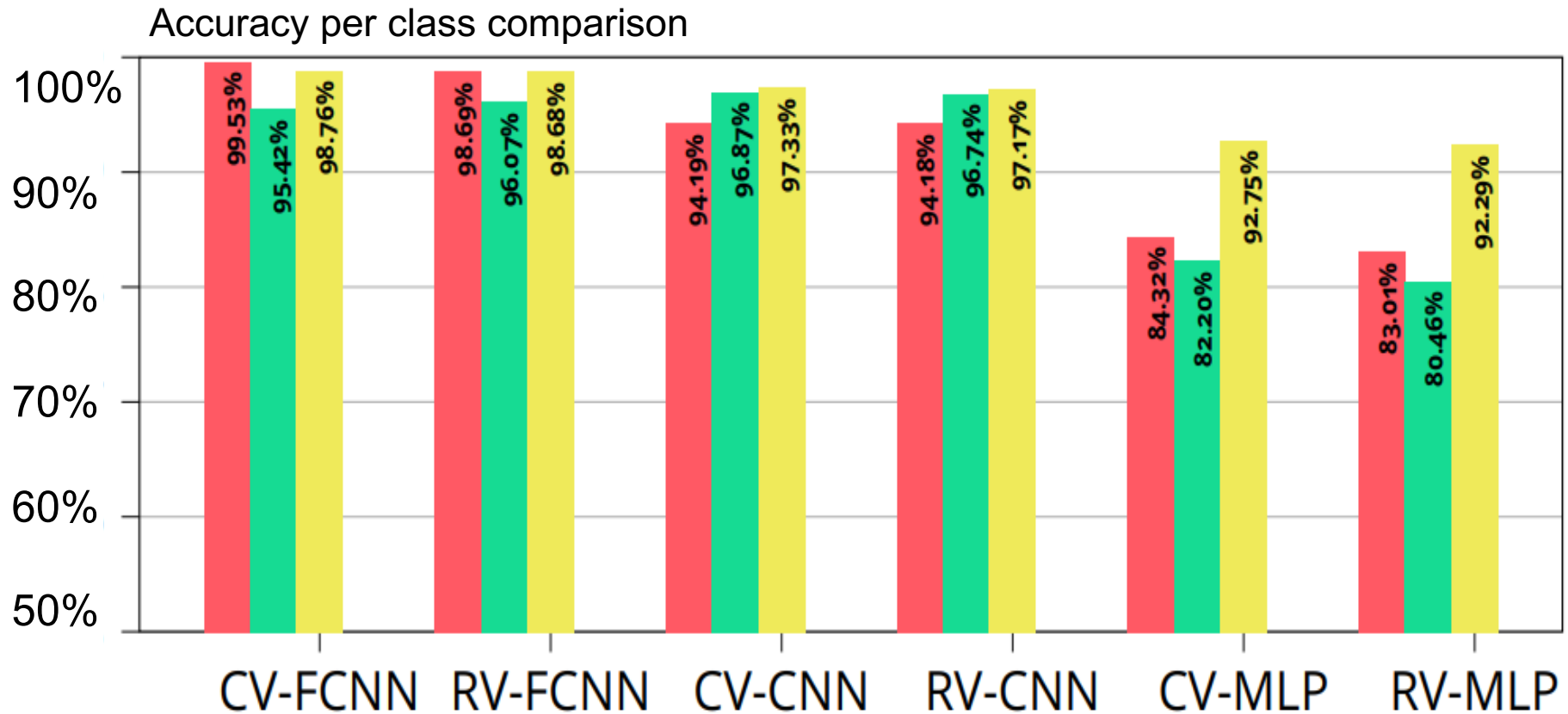
[3] Zhao, et al. "Contrastive-regulated CNN in the complex domain: A method to learn physical scattering signatures from flexible PolSAR images." *IEEE Transactions on Geoscience and Remote Sensing*, 2019.

[4] Zhao, et al. "Learning physical scattering patterns from PolSAR images by using complex-valued CNN." *IGARSS 2019-2019 IEEE International Geoscience and Remote Sensing Symposium. IEEE*, 2019.

[5] Qin, et al. "Superpixel-oriented classification of PolSAR images using complex-valued convolutional neural network driven by hybrid data." *IEEE Transactions on Geoscience and Remote Sensing*, 2020.

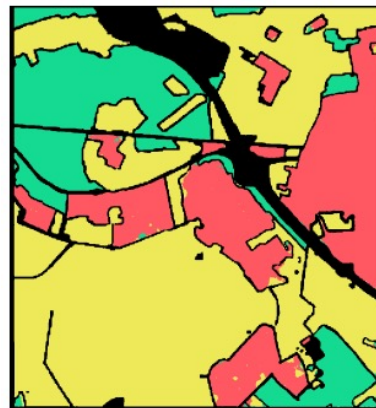
[6] Hänsch, Ronny. "Complex-valued multi-layer perceptrons - an application to polarimetric SAR data." *Photogrammetric Engineering & Remote Sensing*, 2010.

Baseline experiment: Oberpfaffenhofen results

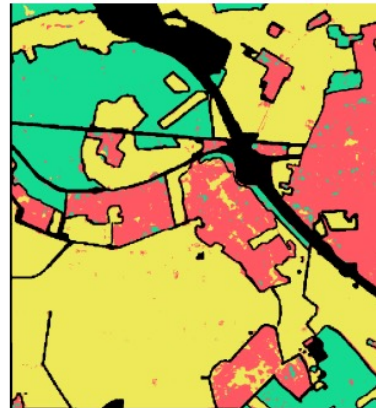


- Model architecture has higher impact than data type
- Complex-valued networks perform better than their real equivalent

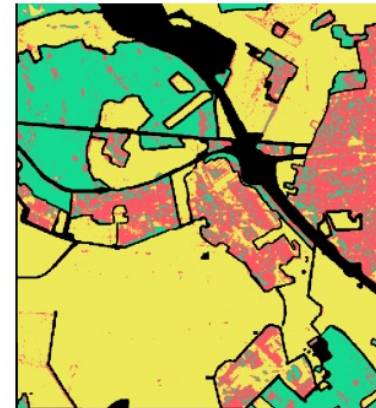
Baseline experiment: Oberpfaffenhofen results



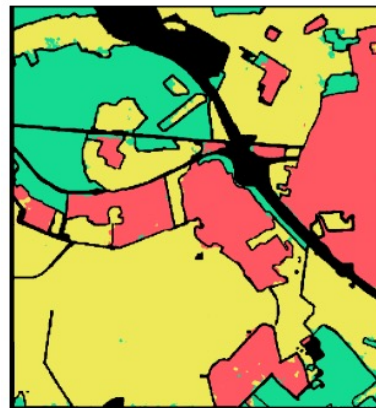
(a) CV-FCNN



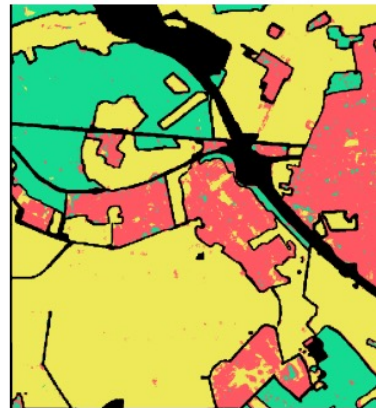
(b) CV-CNN



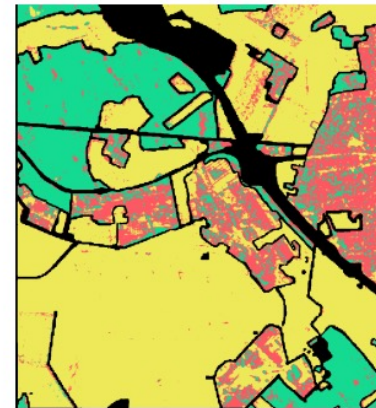
(c) CV-MLP



(d) RV-FCNN

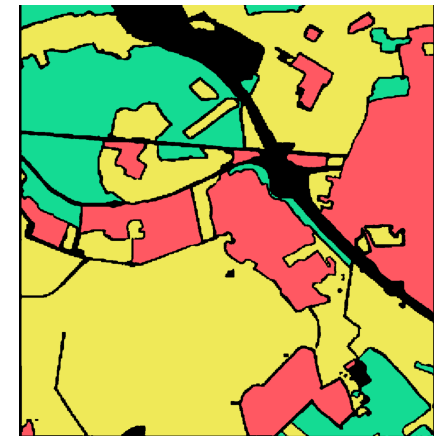


(e) RV-CNN



(f) RV-MLP

Ground Truth



MLSP 2021
JSPS 2022

Median Overall Accuracy (OA) model predictions for each model

[1] Barrachina, et al. "About the equivalence between complex-valued and real-valued fully connected neural networks - application to PolInSAR images" *IEEE Machine Learning for Signal Processing (MLSP)*, 2021

[2] Barrachina, et al. "Comparison Between Equivalent Architectures of Complex-valued and Real-valued Neural Networks- Application on Polarimetric SAR Image Segmentation." *Journal of Signal Processing Systems*, 2022.

Baseline experiment: Flevoland data

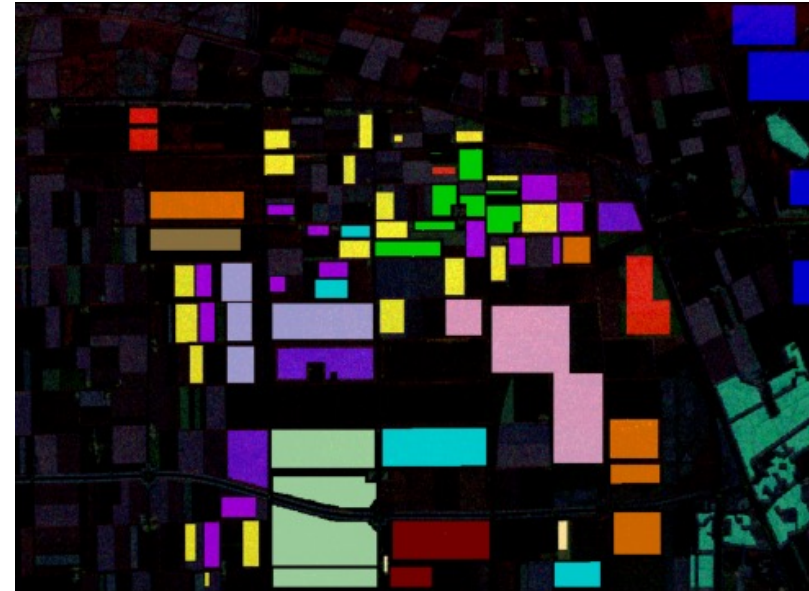
Airbone Synthetic Aperture Radar (AIRSAR)

- NASA / Jet Propulsion Laboratory (JPL)
- Maestro-1 Campaign
- L-Band
- 1989
- Size 750x1024

PoISAR image



Labels [1]



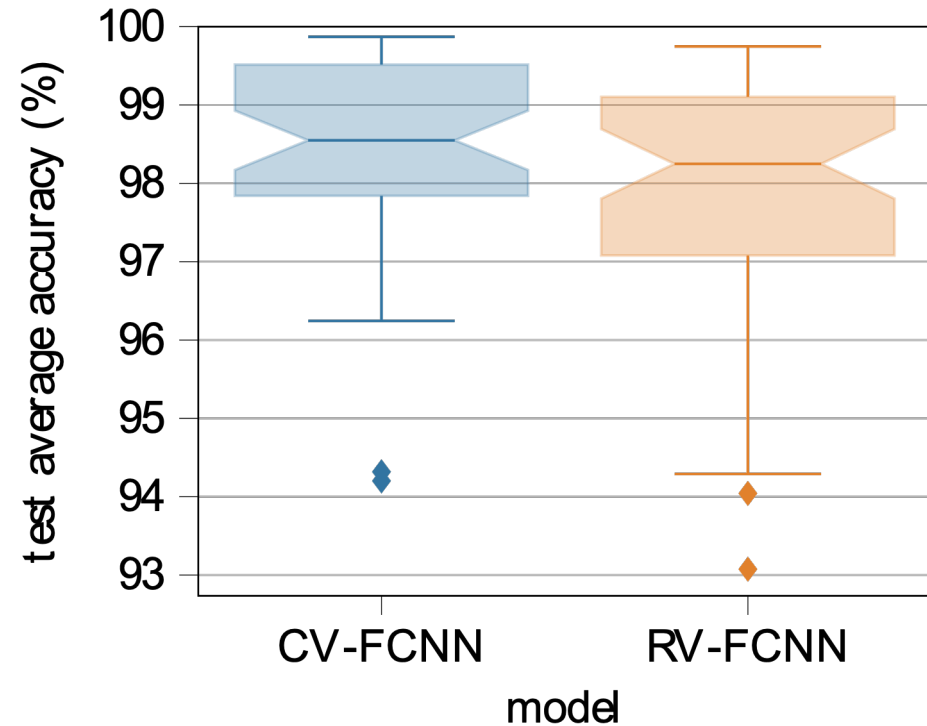
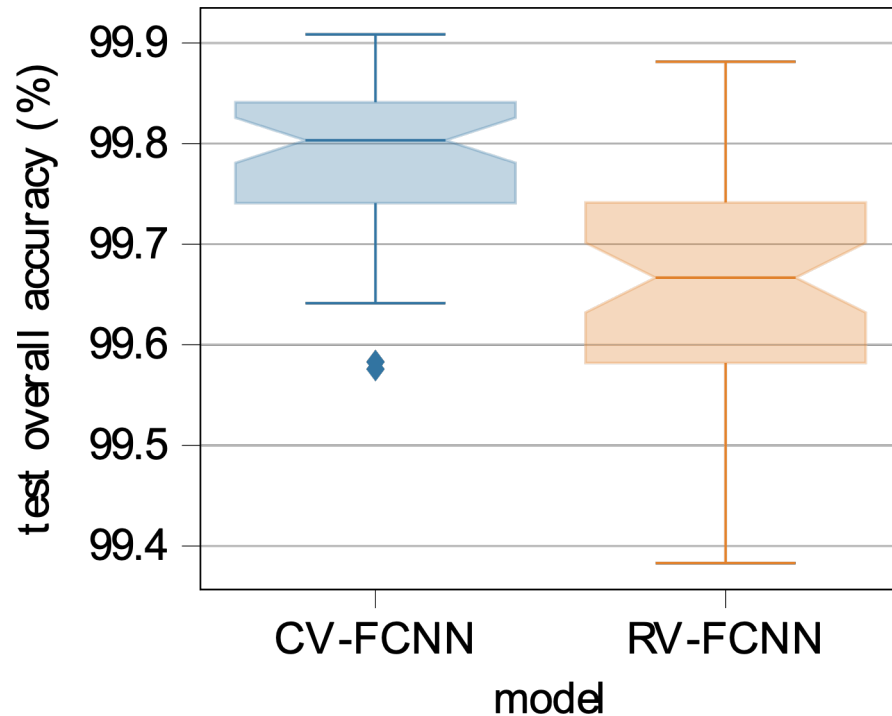
[1] Z. Zhang, et al. "Complex-Valued Convolutional Neural Network and Its Application in Polarimetric SAR Image Classification," *IEEE Transactions on Geoscience and Remote Sensing*, 2017.

Baseline experiment: Flevoland results 1/4

		CV-FCNN	RV-FCNN
Overall Accuracy	Median	99.80 ± 0.02	99.67 ± 0.03
	Mean	99.79 ± 0.01	99.66 ± 0.02
	IQR	99.74-99.84	99.58-99.74
	Full range	99.58-99.91	99.38-99.88
Average Accuracy	Median	98.55 ± 0.38	98.25 ± 0.44
	Mean	98.35 ± 0.19	97.87 ± 0.23
	IQR	97.84-99.52	97.08-99.10
	Full range	94.20-99.87	93.07-99.75

FCNN test accuracy results

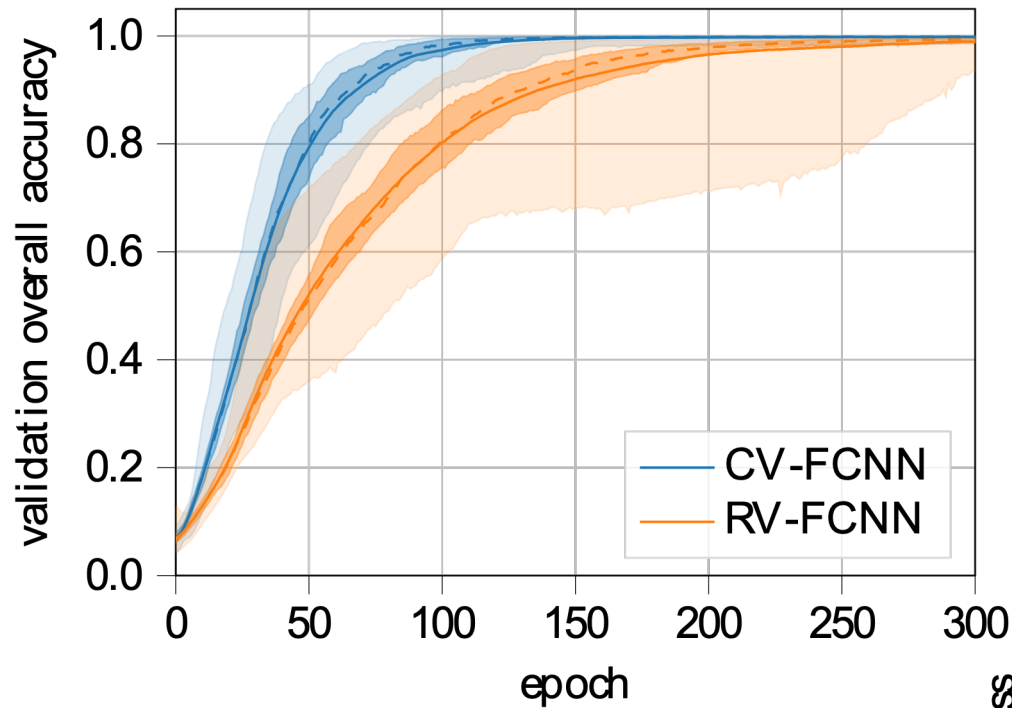
Baseline experiment: Flevoland results 2/4



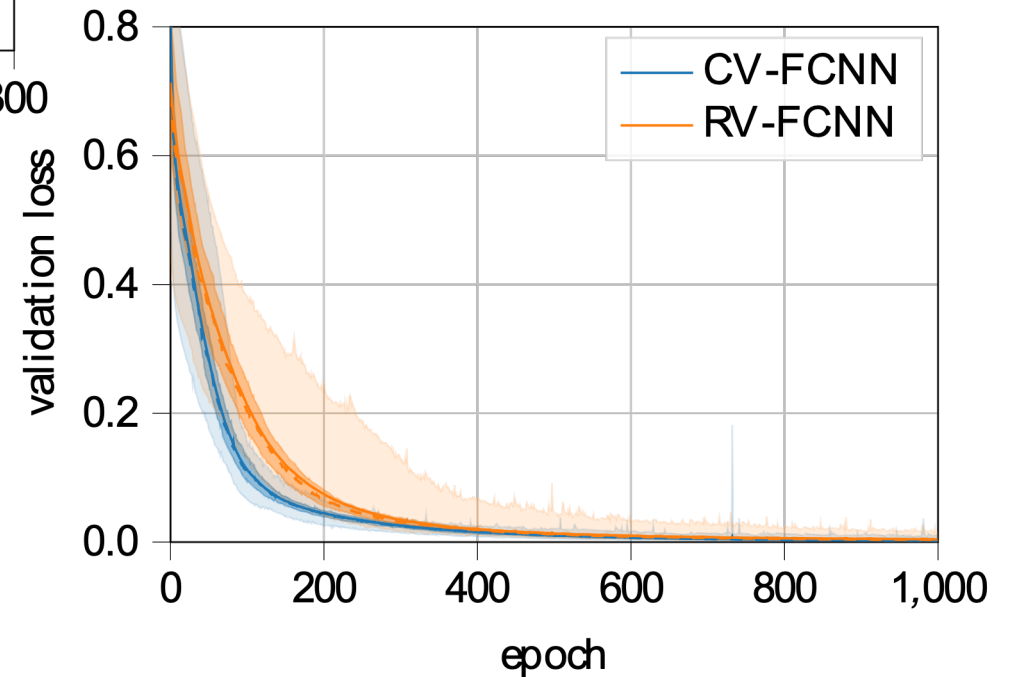
“if median intervals do not overlap, there is a 95% confidence that their values differ”

R. McGill et al., “Variations of box plots,” *The American Statistician*, 1978

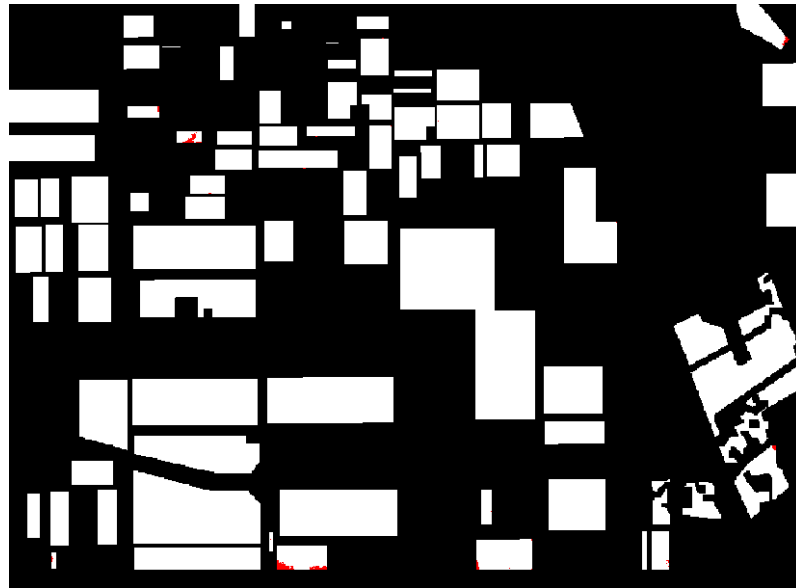
Baseline experiment: Flevoland results 3/4



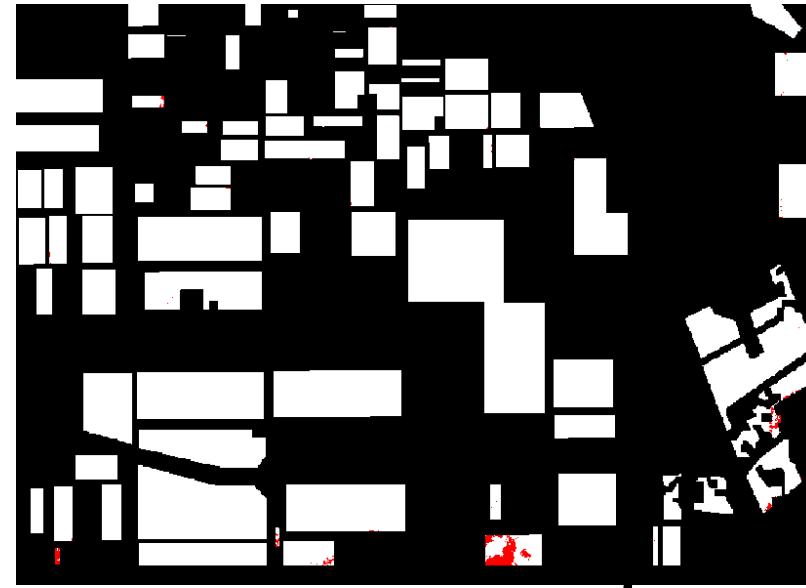
- CVNN converges faster



Baseline experiment: Flevoland results 4/4



(a) CV-FCNN



(b) RV-FCNN



GRETSI 2022

[1] Barrachina, et al. "Merits of Complex-Valued Neural Networks for PoSAR image segmentation" *GRETSI XXVIIIème Colloque Francophone de Traitement du Signal et des Images*, Nancy, France, 2022

Baseline experiment: Flevoland conclusions

CVNN outperformance over an equivalent-RVNN is almost undeniable

- Run the experiment for 3 different models (MLP, CNN, FCNN)
- Results show complex models have
 - Higher OA and AA
 - Less overfitting
 - Less variance on results
 - Faster convergence

Studies on Input Representation: SF data

Airbone Syntetic Aperture Radar (AIRSAR)

- NASA / Jet Propulsion Laboratory (JPL)
- L-Band
- 10x10 m² spatial resolution [1]
- August 1989
- Resolution 900x1024

Polarimetric Pauli vector

- \mathbb{C}^3
- All complex-valued
- Total 3 values

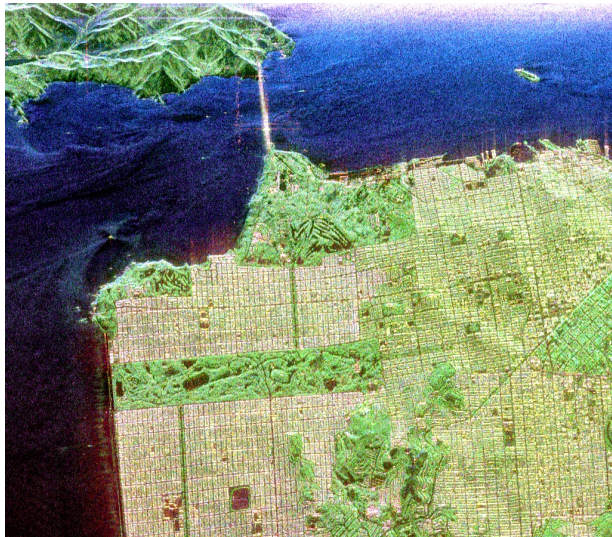
Polarimetric Coherency matrix

- $\mathbb{C}^{3 \times 3}$ Hermitian
- Real-valued diagonal
- Total 6 values

Coherency matrix

- Has real-valued diagonal
- Performs average of adjacent pixels (loss of information)

PoISAR image



Labels [1]



A Mountain; **B** Water; **C** Urban; **D** Vegetation; **E** Bare Soil

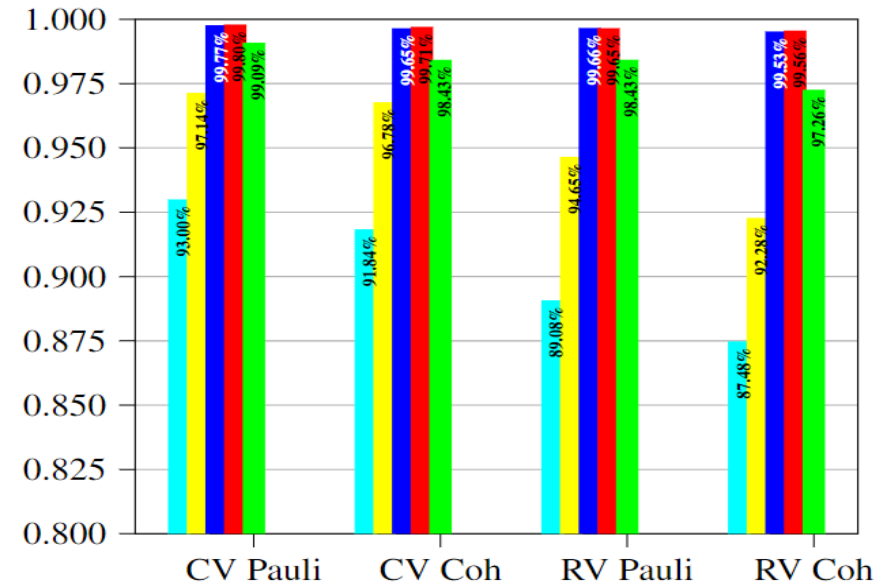
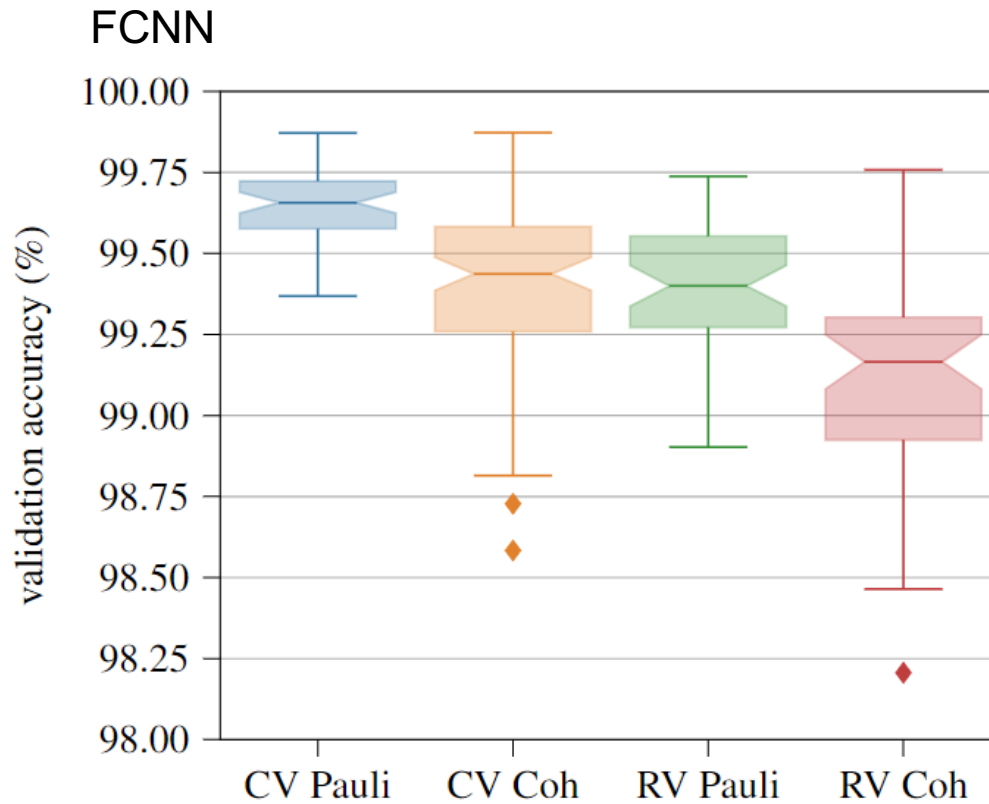
[1] Liu, X, et al., "PoISF: PoISAR Image Datasets on San Francisco." *IFIP Advances in Information and Communication Technology*, Springer, 2022 .

Studies on Input Representation: Results 1/2

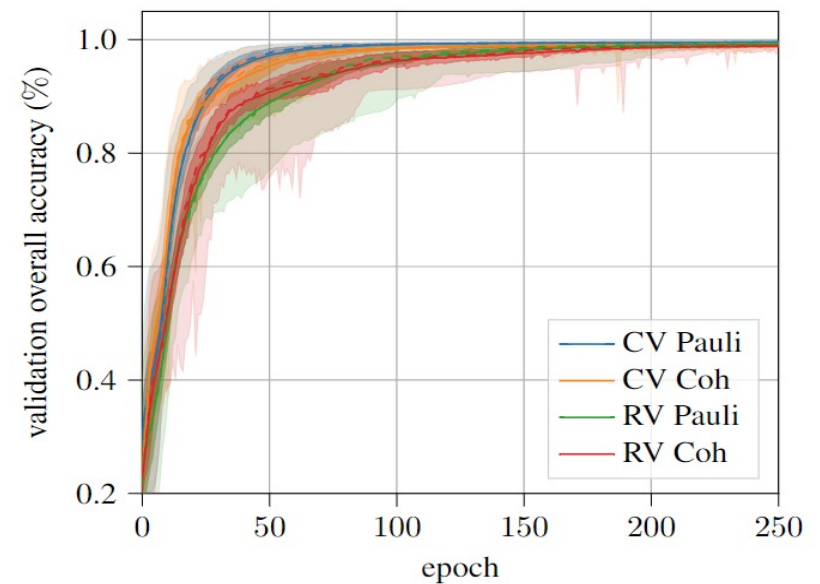
		CV-FCNN		RV-FCNN	
		Pauli Vector	Coherency Matrix	Pauli Vector	Coherency Matrix
Average Accuracy	Median	98.00±0.27	96.80±0.25	96.75±0.32	95.20±0.44
	Mean	97.55±0.15	96.54±0.12	96.39±0.18	94.98±0.21
	Full range	93.90-98.79	93.44-98.63	92.37-97.69	91.06-97.64
Overall Accuracy	Median	99.64±0.01	99.45±0.02	99.40±0.02	99.19±0.03
	Mean	99.64±0.01	99.44±0.01	99.40±0.01	99.18±0.02
	Full range	99.53-99.70	98.91-99.61	99.16-99.53	98.76-99.43

FCNN test accuracy results

Studies on Input Representation: Results 2/2



- The performance improvement of using Pauli instead of coherency or a complex model instead of a real model is similar



Studies on Input Representation: Conclusions

We showed that FCNN or related models used for PoISAR segmentation tasks can profit independently from both:

- Using a Complex-Valued Neural Network instead of a Real-Valued Neural Network
- Using Pauli vector as an input representation instead of the Coherency matrix
 - This has the extra benefit of using less memory space (half for CVNN and 2/3 for RVNN).



[1] Barrachina, et al. "Real- and Complex-Valued Neural Networks for SAR image segmentation through different polarimetric representations" *IEEE International Conference on Image Processing (ICIP)*, Bordeaux, France, 2022.

Subsets correlation reduction: Brittany data

ONERA proprietary

- X-Band
- 1.32x1.38 m² spatial resolution [1]
- 30 incidence angle
- Resolution: 1533x3392



Train

Validation

Test



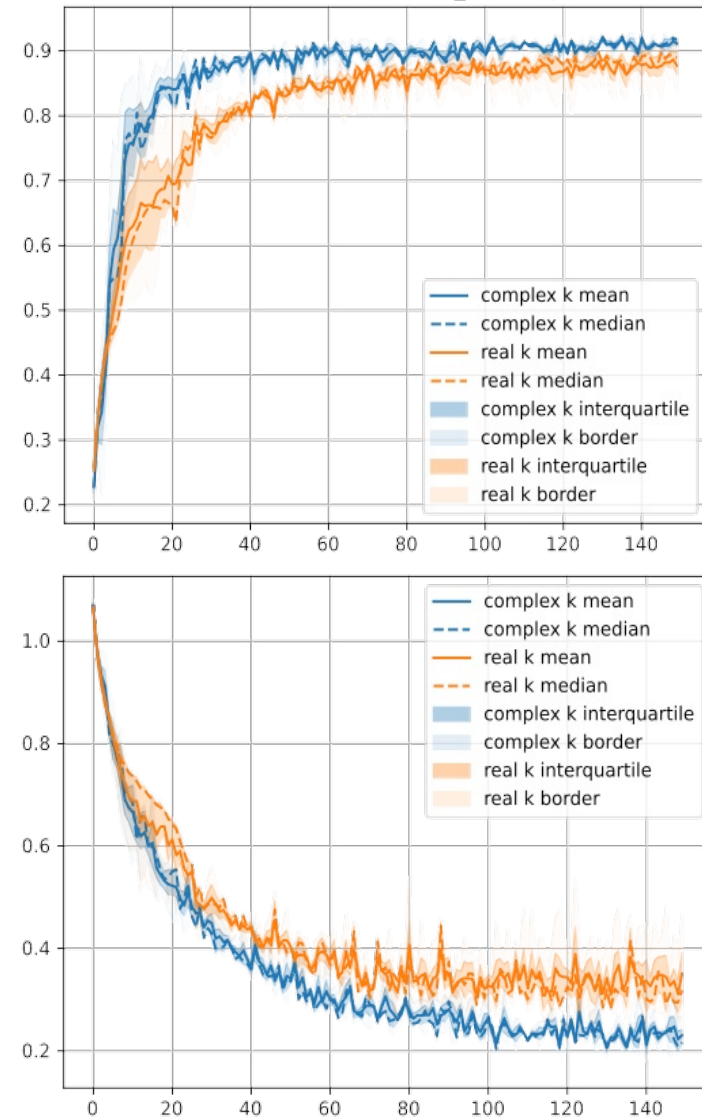
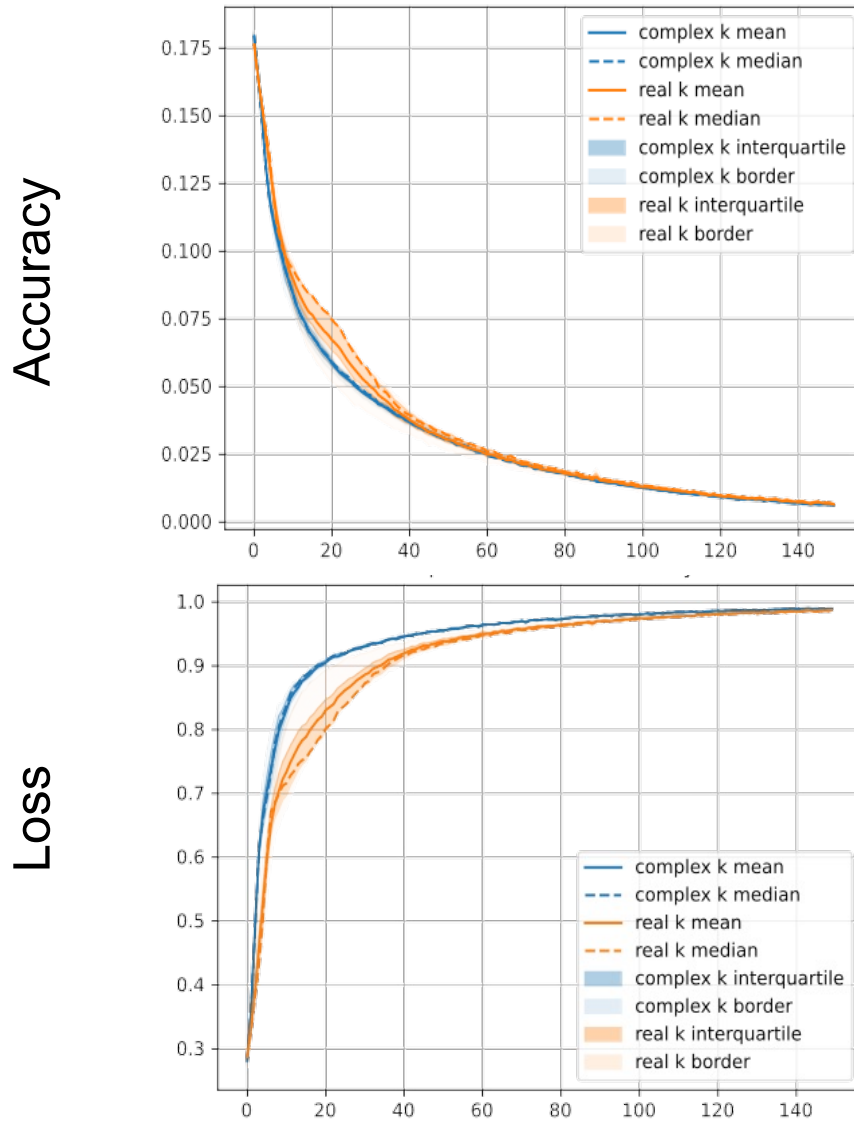
- Very High Accuracy (around 99%)
- SWO may generate overlapping sets
- Images from patches may be very close to each other
- Allows for oversampling

[1] P. Formont, et al., "Statistical Classification for Heterogeneous Polarimetric SAR Images", *Selected Topics in Signal Processing*, 2011.

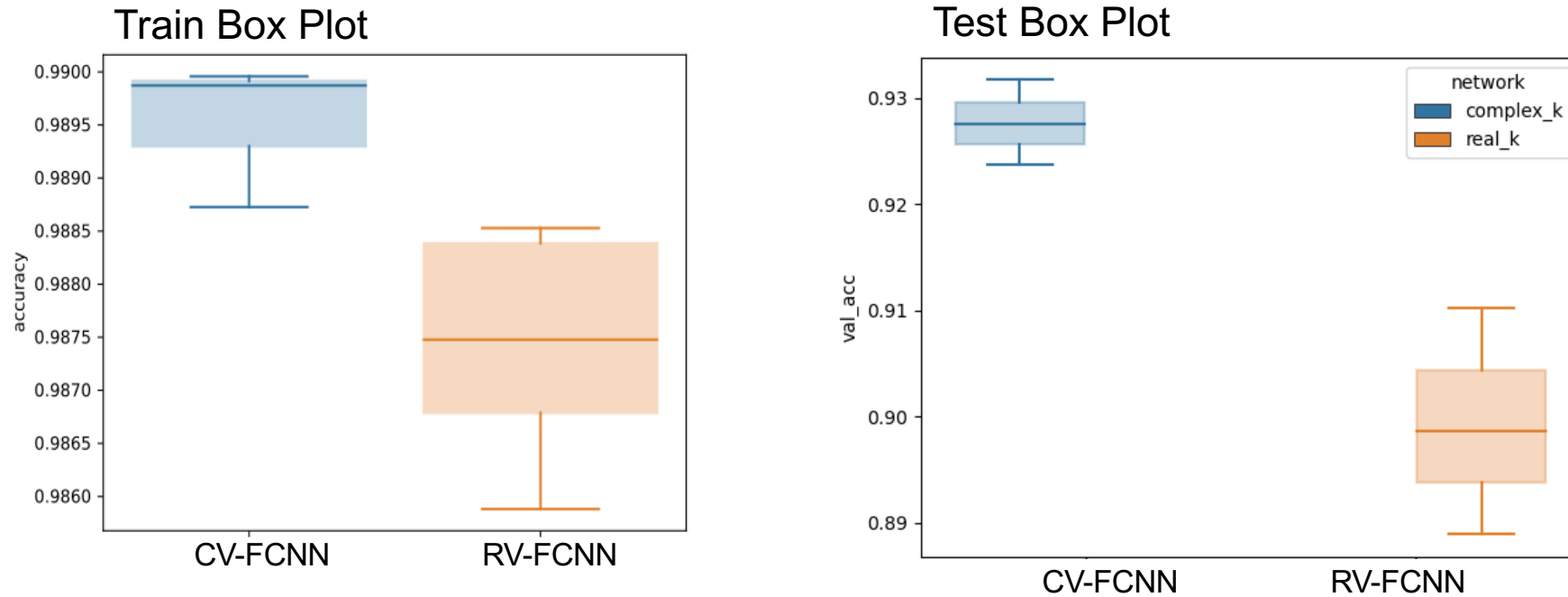
Subsets correlation reduction: results 1/2

Train

Validation



Subsets correlation reduction: results 2/2



	CV-FCNN	RV-FCNN
Median	92.76 ± 0.36	89.86 ± 0.96
Mean	92.77 ± 0.46	89.92 ± 1.23
Range	92.37-93.17	88.89-91.02

Subsets correlation reduction: conclusions



CVNN



RVNN

- Successfully reduced the performance for a saturated task

IGARSS 2022



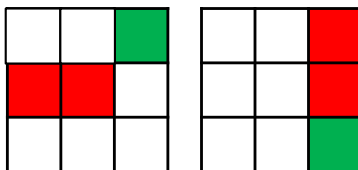
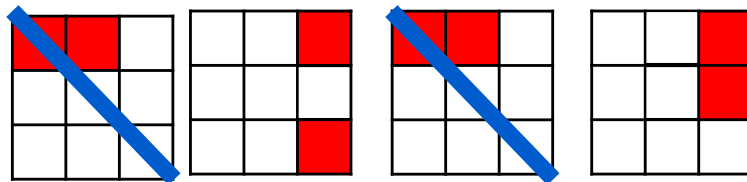
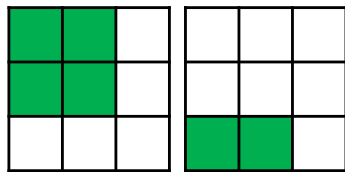
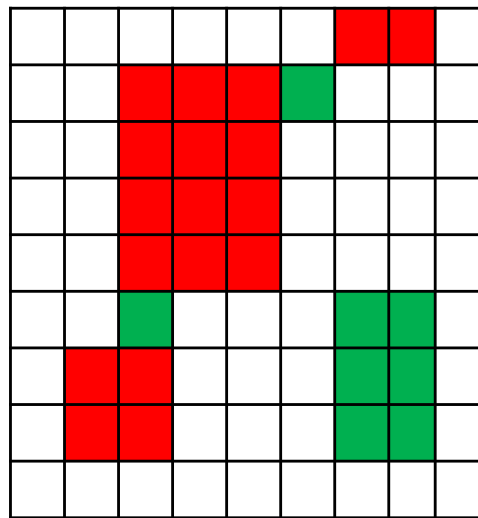
[1] Barrachina, et al. "Complex-Valued Neural Networks for Polarimetric SAR segmentation using Pauli representation" *IEEE International Geoscience and Remote Sensing Symposium (IGARSS), Physics Aware Machine Learning for Synthetic Aperture Radar Applications*, Kuala Lumpur, Malaysia, 2022

Accuracy balancing: Motivation

		Coherency Matrix		Pauli Vector	
		CV	RV	CV	RV
FCNN	OA			99.83±0.02	99.69±0.06
	AA			98.69±0.33	98.62±0.20
CNN	OA	95.78±0.26	94.43±0.67	95.40±0.50	94.78±0.71
	AA	89.72±0.67	85.82±1.53	88.05±1.50	86.90±1.86
MLP	OA	95.09±0.02	95.13±0.01	88.55±0.04	87.77±0.04
	AA	87.10±0.15	88.40±0.09	64.69±0.08	63.13±0.10

Test accuracy mean results for Bretigny dataset without splitting (%)

Accuracy balancing: Dataset problematic



1. Generate image patches (total 9):
 - a. 2 pure green
 - b. 4 pure red
 - c. 2 mixed
 - d. 1 no labels (discarded)
2. Remove exceeding one class images
 - a. 2 pure green
 - b. 2 pure red
 - c. 2 mixed
3. Balance total pixels
 - a. 13 red pixels
 - b. 8 green pixels

Accuracy balancing: Results

Dataset		Coherency Matrix		Pauli Vector	
		CV	RV	CV	RV
FCNN	OA	83.08±1.80	46.14±3.41	98.85±0.07	98.50±0.13
	AA	69.45±2.90	55.73±2.82	98.17±0.12	98.04±0.27
CNN	OA	94.41±0.06	94.42±0.09	94.83±0.11	94.60±0.10
	AA	94.84±0.06	94.36±0.06	95.42±0.06	95.25±0.04
MLP	OA	92.77±0.11	92.82±0.16	71.70±0.09	71.84±0.10
	AA	92.38±0.03	92.85±0.04	81.13±0.06	80.56±0.10

Test accuracy mean results for dataset balancing (%)

Accuracy balancing: Results

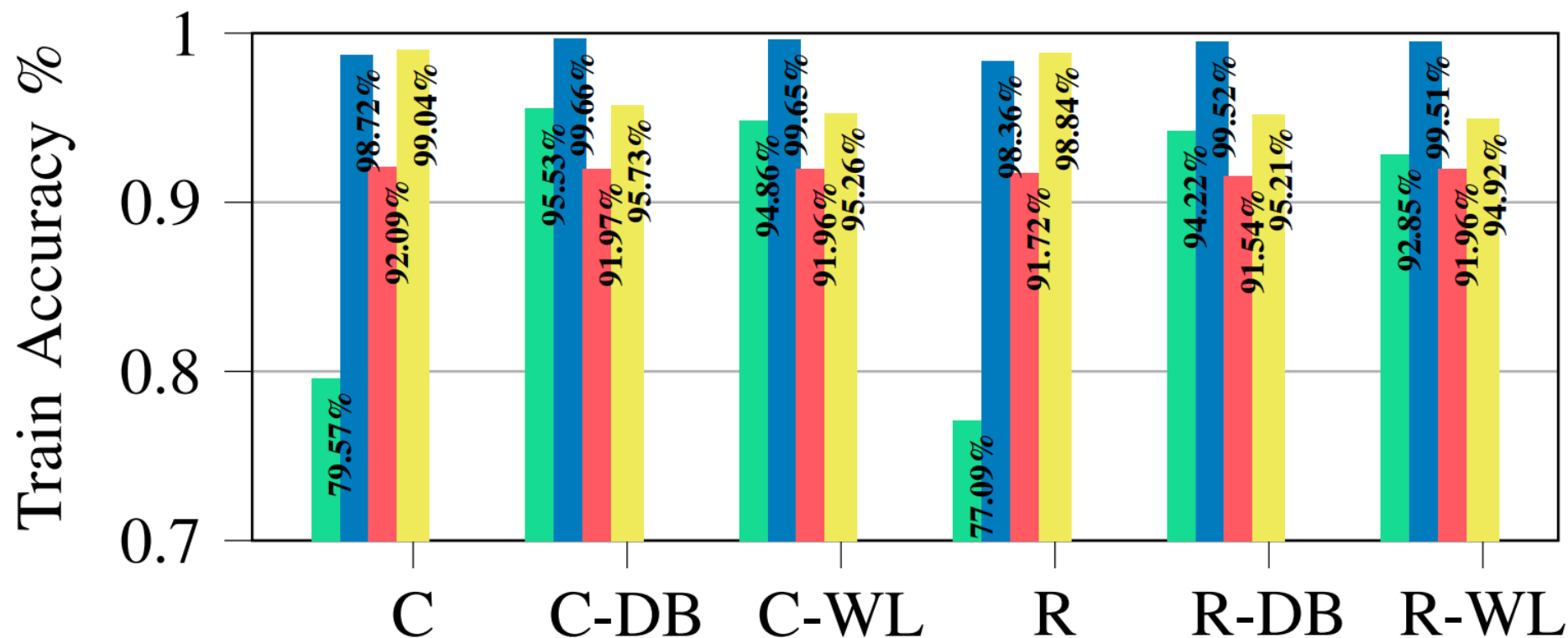
Weighted loss average

$$Loss = \frac{1}{OCC_{min}} \sum_c OCC_c Loss_c$$

Dataset		Coherency Matrix		Pauli Vector	
		CV	RV	CV	RV
CNN	OA	90.66±0.48	87.11±1.28	92.35±0.81	91.61±1.11
	AA	89.96±0.30	86.31±0.82	92.12±1.06	91.53±1.17
MLP	OA	93.40±0.13	92.70±0.20	71.96±0.32	71.51±0.22
	AA	91.05±0.08	91.39±0.08	79.27±0.06	78.04±0.01

Test accuracy mean results for weighted loss with dataset (%)

Accuracy balancing: Results



CNN Coherency matrix results per class.

- C: CV-CNN;
- C-DB: CV-CNN dataset balanced;
- C-WL: CV-CNN;
- R: RV-CNN; weighted loss;
- R-DB: RV-CNN dataset balanced;
- R-WL: RV-CNN weighted loss

Accuracy balancing: Conclusions

- Results for split dataset were analogous with a few differences
 - CV-MLP always outperform RV-MLP
 - CV-CNN performed better with the Pauli vector for the OA and with the coherency matrix for the AA.
- Complex models generalized better except for the MLP model without dataset splitting
- FCNN works better with Pauli vector whereas MLP models work better when using the coherency matrix
- For CNN, which input representation to use was unclear
- Weighted loss did not work well for FCNN models
- Regardless of that case, both balancing methods worked correctly with a slight tendency towards dataset subsampling

- We hope to motivate further works on CVNN by providing a CVNN toolbox
- We showed the interest of CVNN for datasets which have the non-circular property or related
- We proved that CVNN outperforms RVNN on PolSAR segmentation tasks
- Using the Pauli vector may be a better input representation depending on the model used
- Particular attention should be used for the dataset preprocessing to reduce correlation between training and validation sets. We show that this has a vital importance to avoid saturation of the task

- Use different images for training, validation and test (example, different images of the same place taken at different moments or different places with same classes)
- Explore the interest of CVNN for real dataset using a pertinent transformation (for example, Hilbert transform)
- Extend despeckling techniques with keeping the phase information
- Quaternion / Clifford Algebra Neural Networks
- Analyze the impact on different activation functions, pooling layers, etc.
- Explore other applications such as data augmentation, change detection, object and target detection, style transfer, complex-valued autoencoder
- Generate physic-aware complex-valued PolSAR image (Complex-Valued GAN)

Publications: Journals

- [1] **José Agustín Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Comparison Between Equivalent Architectures of Complex-valued and Real-valued Neural Networks - Application on Polarimetric SAR Image Segmentation" *in Journal of Signal Processing Systems, Springer*, pp. 1-10, 2022.
- [2] **José Agustín Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Impact of PolSAR pre-processing and balancing methods on complex-valued neural networks segmentation tasks" *in Open Journal of Signal Processing and ICASSP, IEEE*, 2023, (Submitted). arXiv preprint arXiv:2210.17419 2022.
- [3] **José Agustín Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Theory and implementation of Complex-Valued Neural Networks", 2023 (In preparation)

Publications: Conferences

- [1] **Jose Agustin Barrachina**, Chengfang Ren, Gilles Vieillard, Christèle Morisseau, Jean-Philippe Ovarlez, "Real- and Complex-Valued Neural Networks for SAR image segmentation through different polarimetric representations" *IEEE International Conference on Image Processing (ICIP)*, Bordeaux, France, 2022.
- [2] **Jose Agustin Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Merits of Complex-Valued Neural Networks for PolSAR image segmentation" *GRETSI XXVIIIème Colloque Francophone de Traitement du Signal et des Images*, Nancy, France, 2022.
- [3] **Jose Agustin Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Complex-Valued Neural Networks for Polarimetric SAR segmentation using Pauli representation" *IEEE International Geoscience and Remote Sensing Symposium (IGARSS), Physics Aware Machine Learning for Synthetic Aperture Radar Applications*, Kuala Lumpur, Malaysia, 2022. **Invited session & 3MT finalist.**
- [4] **Jose Agustin Barrachina**, Chengfang Ren, Gilles Vieillard, Christèle Morisseau, Jean-Philippe Ovarlez, "About the Equivalence Between Complex-Valued and Real-Valued Fully Connected Neural Networks - Application to Polinsar Images" *IEEE 31st International Workshop on Machine Learning for Signal Processing (MLSP)*, Gold Coast, Queensland, Australia, pp. 1-6, 2021. **Ranked top 15% on reviewer score.**
- [5] **Jose Agustin Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Complex-valued vs. real-valued neural networks for classification perspectives: An example on non-circular data" *IEEE International Conference on Acoustics, Speech and Signal Processing (ICASSP)*, Toronto, Ontario, Canada, pp. 2990-2994, 2021. **r/ML-WAYR week 116.**

Publications without proceedings

- [1] **Jose Agustin Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "Complex-Valued Neural Networks for Polarimetric SAR segmentation using Pauli representation" *5th SONDRRA Workshop*, Avignon, France, 2022.
- [2] **Jose Agustin Barrachina**, "Complex-Valued Neural Networks (CVNN)" *Zenodo*, DOI: <https://doi.org/10.5281/zenodo.4452131>, 2021. Repository: <https://github.com/NEGU93/cvnn>
- [3] **Jose Agustin Barrachina**, Chengfang Ren, Christèle Morisseau, Gilles Vieillard, Jean-Philippe Ovarlez, "A comparison between complex and real valued fully connected neural networks on non-circular complex data" *XXII Giambiagi Winter School: Artificial intelligence and deep learning in physics*, poster session, Buenos Aires, Argentina, 2020.

Thank you!

Results viewer

User Interface

Dataset

SF-AIRSAR SF-RS2 OBER BRET

Model

cao own zhang haensch tan

Dtype

complex real_imag amplitude_phase amplitude_only real_only

Library

cvnn tensorflow

Dataset Mode

coh k

Dataset Method

random separate single_separated_image

Balance

none loss dataset

Accuracy (total count 30)

Train OA: 99.09% +- 0.02%

Train AA: 98.82% +- 0.02%

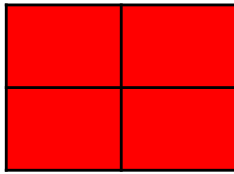
Validation OA: 98.40% +- 0.02%

Validation AA: 97.58% +- 0.06%

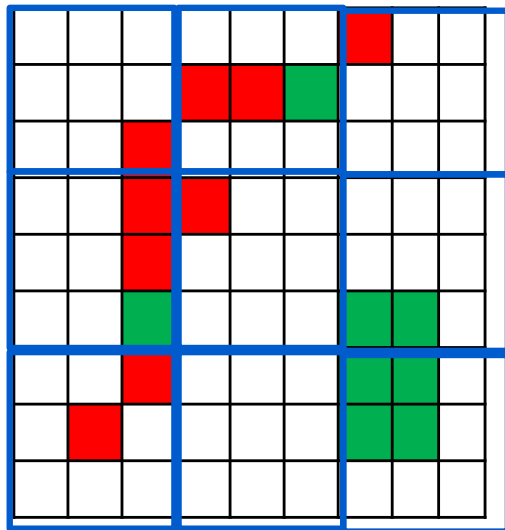
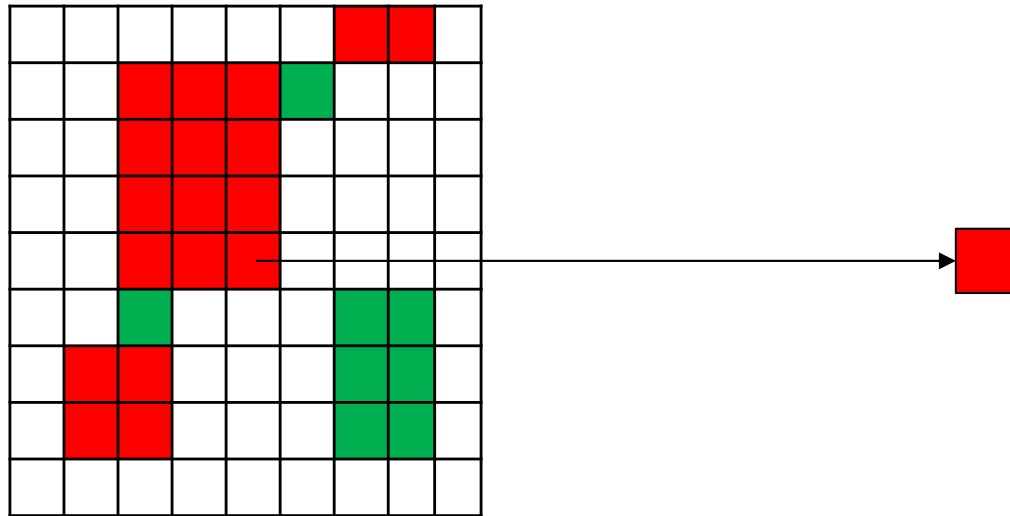
	0	1	2
0	1	0.00025	0.00045
1	0.02	0.97	0.0077
2	0.0061	0.00022	0.99

	balance	dataset	dataset_method	dataset_mode	dtype	library	model
0	none	OBER	random	coh	complex	cvnn	cao
1	none	OBER	random	coh	real_imag	cvnn	cao
2	none	OBER	random	coh	real_imag	tensorflow	cao
3	dataset	OBER	random	coh	complex	cvnn	haensch
4	none	OBER	random	coh	complex	cvnn	haensch
5	dataset	OBER	random	coh	real_imag	tensorflow	haensch
6	none	OBER	random	coh	real_imag	tensorflow	haensch
7	dataset	OBER	random	coh	complex	cvnn	tan

Accuracy Balancing: Dataset problematic



Accuracy Balancing: Dataset problematic



sw 3x3, stride 3, padding

- Total 9 images
 - 2 pure green
 - 4 pure red
 - 2 mixed

Valorisation: Reddit



Posted by u/ML_WAYR_bot 6 months ago

[D] Machine Learning - WAYR (What Are You Reading) - Week 116

Discussion



56



[R] Complex-Valued Neural Networks

Research

So what do you think about Complex Valued Neural Networks? Can it be a new interesting field to look at? Mostly for the Signal Processing or Physics community. <https://arxiv.org/abs/2009.08340>

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Log In

Sign Up



r/MachineLearning

Welcome to MachineLearning

2.2m
Members

451
Online

Created Jul 29, 2009

r/MachineLearning topics

Machine Learning

Join

Motivation

 x_1 ———

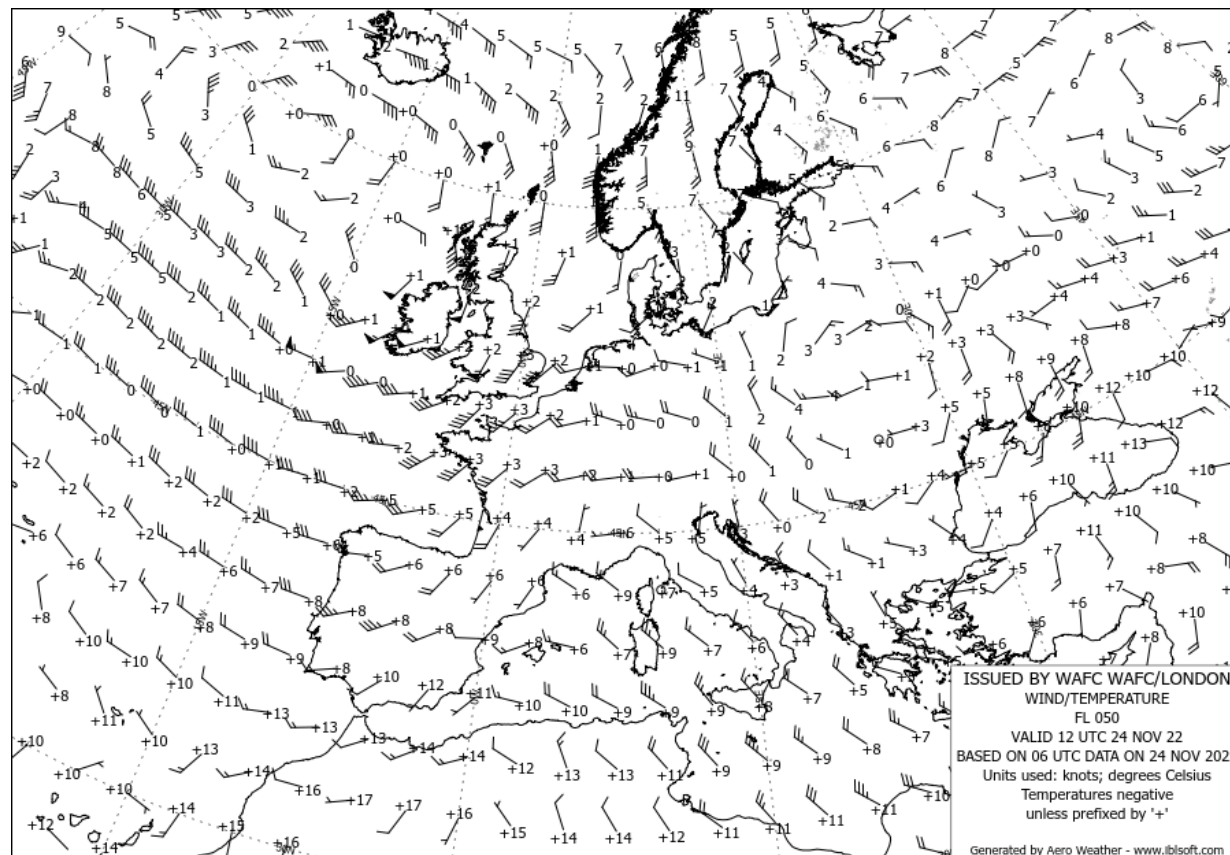
 y_1 ———

 x_2 ———

 y_2 ———

What is the relationship?

$$z_1 = y_2 + jx_1$$



“If we know a priori that the objective quantities include “phase” and/or “amplitude”, we can reduce [...] the freedom by employing a complex-valued neural network” [1]

[1] A. Hirose, “Complex-Valued Neural Networks: Advances and Applications” *IEEE Press Series on Computational Intelligence*, 2013.